



**UNIVERSIDADE FEDERAL RURAL DE PERNAMBUCO - UFRPE**  
**PROGRAMA DE PÓS-GRADUAÇÃO EM DESENVOLVIMENTO E**  
**INOVAÇÃO TECNOLÓGICA EM MEDICAMENTOS – PGDITM**

**USO DE MICROPARTÍCULAS POLIMÉRICAS COM ETOPOSÍDEO**  
**RADIOMARCADAS COM TECNÉCIO 99 METAESTÁVEL PARA O**  
**DIAGNÓSTICO DIFERENCIAL DE CÂNCER DE PULMÃO**

**Roberto Paulo Camara Salvi**

Pernambuco  
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Tese apresentada, como requisito parcial para obtenção do título de Doutor, ao Programa de Pós-graduação em Desenvolvimento e Inovação Tecnológica em Medicamentos – PGDITM, da Universidade Federal Rural De Pernambuco.

Orientador: Prof. Dr. Ralph Santos-Oliveira

Co-Orientadora: Profa. Dra. Patrícia Lopes Barros de Araújo

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Aprovada em 23 de agosto de 2019

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## RESUMO

O diagnóstico de câncer de pulmão ocorre principalmente quando o câncer já está em estágio avançado. Nessa situação, há poucas opções para o tratamento e a maioria delas tem poucas chances de sucesso. Neste estudo foram desenvolvidas e testadas micropartículas de Etoposídeo como um agente de diagnóstico para imagem de câncer pulmonar em estágios iniciais de desenvolvimento. Micropartículas de Etoposídeo marcadas com tecnécio 99 metaestável foram testadas em ratos induzidos. Os resultados demonstraram que mais de 10% da dose total utilizada foi absorvida no local do tumor. Além disso, os resultados mostraram que as micropartículas tinham uma boa depuração renal e absorção pelo fígado e baço. Os dados sugerem que estes micro-radiofármacos podem ser utilizados para exame de imagem do câncer de pulmão, especialmente tomografia computadorizada por emissão de fóton único (SPECT).

Palavras-chave: Nanopartícula, Citotoxicidade, Etoposídeo, Nanopartículas Poliméricas, Tecnécio-99m.

## ABSTRACT

The diagnosis of lung cancer mostly occurs when the cancer is already in an advanced stage. In this situation, there are few options for the treatment and most of them have few chances of success. In this study, we developed and tested etoposide microparticles as a diagnostic agent for imaging lung cancer at early stages of development. We tested etoposide microparticles labeled with technetium 99m in induced mice. The results demonstrated that over 10% of the total dose used was uptake by the tumor site. Also, the results showed that the microparticles had a good renal clearance and low uptake by liver and spleen. The data suggest that these micro-radiopharmaceuticals may be used for lung cancer imaging exam, especially single-photo emission computed tomography (SPECT).

Keywords: Nanoparticle, Cytotoxicity, Etoposide, Polymer Nanoparticles, Technetium-99m.

## LISTA DE FIGURAS

Figura 1: Etapas da Formação de Tumores .....	17
Figura 2: Esquema da Formação de Tumores .....	17
Figura 3: Números do câncer de Pulmão no Brasil e no Mundo .....	20
Figura 4: Realização do procedimento de Broncoscopia .....	26
Figura 5: Realização do Procedimento de Broncoscopia Guiado por Tomografia.....	26
Figura 6: Estrutura Química da Podofilotoxina comparado a estrutura do Etoposídeo.....	26
Figura 7: Esquema de um Gerador de Molibdênio/ Tecnécio .....	30
Figura 8: Propriedades das nanopartículas que influenciam na sua absorção .....	33
Figura 9: Vias de internalização de nanopartículas .....	33

## LISTA DE TABELAS

Tabela 1: Estágios do Câncer de Pulmão de Células Não Pequenas .....	21
Tabela 2: Estágios do Câncer de Pulmão de Pequenas Células .....	23

## LISTA DE GRÁFICOS

Gráfico 1: Número estimado de casos incidentes de 2018 a 2040, todos os cânceres, homens e mulheres, todas as idades .....	15
Gráfico 2: Número estimado de mortes por câncer de pulmão de 2018 a 2040, masculino e feminino, todas as idades .....	19

## LISTA DE ABREVIATURAS E SIGLAS

ANVISA	Agência Nacional de Vigilância Sanitária - National Health Surveillance Agency
EPR	Efeito Permeabilidade e Retenção Aumentadas - Enhanced Permeability and Retention
NP's	Nanopartículas – Nanoparticles
MP's	Micropartículas - Microparticles
PET	Tomografia por Emissão de Positron - Positron Emission Tomography
PCL	Policaprolactona - Polycaprolactone
PLA	Ácido Polilático - Polylactic Acid
PLGA	Poli (Ácido Láctico-co- Ácido glicólico) - Poly (Lactic acid-co-glycolic acid)
SPECT	Tomografia Computadorizada por Emissão de Fóton Único - Single-Photon Emission Computed Tomography
TC	Tomografia Computadorizada - Computed Tomography
$\alpha$	Alfa
$\beta$	Beta
$\gamma$	Gama

## SUMÁRIO

<b>INTRODUÇÃO</b> .....	12
<b>1. REVISÃO BIBLIOGRÁFICA</b> .....	14
1.1. Câncer .....	14
1.2. Carcinogênese .....	15
1.3. Câncer de Pulmão .....	18
1.3.1. Câncer de Pulmão de Células Não Pequenas .....	20
1.3.1.1. Adenocarcinoma Pulmonar .....	21
1.3.1.2. Carcinoma Escamoso Epidermóide .....	22
1.3.2. Câncer de Pulmão de Pequenas Células .....	22
1.3.3. Outros Tipos de Câncer de Pulmão .....	23
1.3.3.1. Mesotelioma .....	23
1.3.3.2. Tumor Carcinoide .....	23
1.4. Diagnostico .....	24
1.4.1. Tomografia Computadorizada por Emissão de Fóton Único (SPECT).....	25
1.5. Etoposídeo .....	27
1.6. Radiofármacos .....	28
1.7. Nanotecnologia .....	30
1.7.1. Mecanismo de Absorção de Nanopartículas .....	31
1.7.2. Nanopartículas Poliméricas .....	34
1.7.3. Micropartículas .....	34
1.7.4. Nanorradiofármacos .....	35
<b>2. OBJETIVO</b> .....	36
2.1. Objetivo Específico .....	36
<b>3. MATERIAIS E MÉTODOS</b> .....	37
<b>4. RESULTADOS E DISCUSSÕES</b> .....	44
<b>5. CONCLUSÕES</b> .....	92
<b>6. BIBLIOGRAFIA</b> .....	94



## INTRODUÇÃO

O câncer é definido como um conjunto de doenças (>100 tipos), caracterizado por um crescimento desordenado e anormal de células que se tornam capazes de invadir os mais variados tecidos e órgãos (INCA, 2018a). Nas últimas décadas o câncer, se tornou uma epidemia de proporções globais, em especial nos países desenvolvidos, com uma prospecção de aproximadamente 18,1 milhões de novos casos de câncer, com 9,6 milhões de morte em 2018 (BRAY et al., 2018a).

Dentre os diversos tipos de câncer, o de pulmão é o segundo mais incidente, com mais de 2,1 milhões de novos casos de câncer de pulmão por ano em todo o mundo (BRAY et al., 2018b). No Brasil, foram registrados 31.270 novos casos em 2018, e atinge tanto homens como mulheres (DEVANATHAN; KIMBLE-HILL, 2018; MAHASE et al., 2018; WU et al., 2018a). O câncer de pulmão ocupa a posição de primeiro no ranking, quando considerados a incidência e mortalidade, representando 11,6% todos os novos casos de câncer no mundo (HSIN; HO, 2018; RICH; BECKETT; BALDWIN, 2018; WU et al., 2018a).

O câncer de pulmão pode ser definido como uma patologia que acomete vias aéreas e/ou parênquima pulmonar (GEMINE et al., 2019; HSIN; HO, 2018; RICH; BECKETT; BALDWIN, 2018; WU et al., 2018a). Não obstante, a classificação histológica o divide em 4 tipos: carcinoma de pequenas células, carcinoma escamoso ou epidermóide, adenocarcinoma e carcinoma de células não pequenas (GEMINE et al., 2019; SATO, 2018). Contudo, de forma clínica ele é dividido em 2 grandes tipos: carcinoma de células não pequenas e carcinoma de células pequenas (AI et al., 2018; MASON et al., 2017). Estas classificações são baseadas nas diferenças clínicas, poder metastático e resposta terapêutica (BATUM et al., [s.d.]; BREITBACH et al., 2018; JUNGRAITHMAYR, 2018; WINK et al., 2019).

O principal fator de risco associado ao câncer de pulmão é o tabagismo, seja ele ativo ou passivo. Desde a década de 50, estudos apontam o tabagismo como uma das principais causas do desenvolvimento da doença (CASTELLETTI et al., 2019; WU et al., 2018b; ZHENG; CHEN, 2018). O quadro clínico inicial do câncer de pulmão, não possui sintomatologia específica e, pode manifestar-se por meio de sintomas comuns, tais como:

dispnéia, tosse, hemoptise e perda de peso. Em apenas 15% dos casos, o diagnóstico, é feito de forma precoce, levando ao incremento da sobrevida do paciente (HOUGHTON, 2018; SOLASS et al., 2016; XIONG; WANG; YU, 2018)

O diagnóstico é realizado por meio de exames de imagens inespecíficos como Raios-X e Tomografia Computadorizada (TC). Posteriormente podem ser necessários exames complementares, como uma endoscopia respiratória (broncoscopia) com a coleta de material para biópsia para complementação de diagnóstico (GORHAM et al., 2019; KHADEM ANSARI et al., 2018; LEE, 2018a; POMPILI et al., 2018; PROTO et al., 2019)

## 1. Revisão Bibliográfica

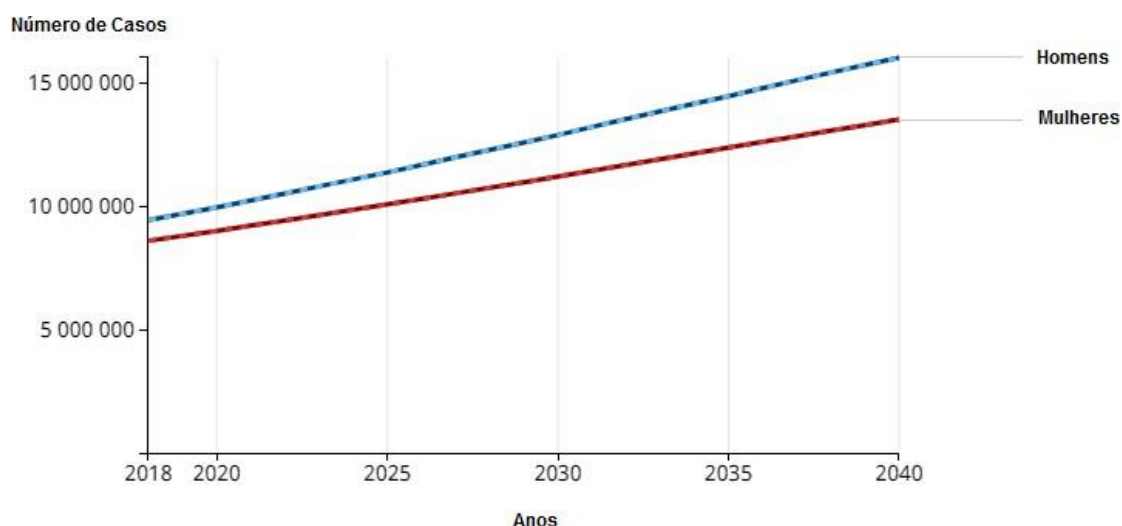
### 1.1. Câncer

O câncer é uma patologia caracterizada pelo crescimento anormal de células que são capazes de invadir tecidos e órgãos e podem espalhar-se para outras regiões do corpo (GHONCHEH; POURNAMDAR; SALEHINIYA, 2016; INCA, 2018b). A metástase pode ocasionar a presença de tumores em regiões de difícil acesso ao tratamento, aumentando a comorbidade associada à doença (SIMSEK; BASOL TEKIN; BILICI, 2019; SOLASS et al., 2016). Sua ocorrência é multifatorial (ambiental, genética entre outras) ocasionando mudanças sucessivas na sobrevivência celular, em especial levando a alterações no processo de multiplicação, diferenciação e interação celular (GAO et al., 2018; KRÓLCZYK et al., 2018; ONICESCU et al., 2018).

Os tipos de câncer estão relacionados aos tecidos do organismo onde se desenvolvem inicialmente, o câncer que se desenvolve em tecidos epiteliais, tais como: pele ou mucosa, é conhecido como carcinoma (BATTELLI et al., 2019; KOTCHERLAKOTA; RAHAMAN; PATRA, 2019; ZHAO et al., 2019). Já o câncer que se desenvolve em tecidos conjuntivos, tais como: osso, músculo ou cartilagem, é conhecido como sarcoma. (DALGLEISH; STERN, 2018; KURUBA; GOLLAPALLI, 2018; MATUSZEWSKI et al., 2018; WANG et al., 2019a; XU et al., 2018).

No ano de 2018, são previstos, no Brasil, aproximadamente, 576 mil novos casos de câncer de pulmão (Gráfico 1) (SILVER et al., 2018; STANKOVIC et al., 2019a). O câncer é uma patologia assintomática, entretanto, alguns sintomas podem ser notados dependendo da região em que o tumor esteja se desenvolvendo, dentre alguns fatores que podemos citar: fadiga crônica, perda de peso, dor, febre, mudanças na pele estão entre esses fatores. (UEDA et al., 2019; XIAO et al., 2018).

Gráfico 1 - Número estimado de casos incidentes de 2018 a 2040, todos os cânceres, homens e mulheres, todas as idades.



Fonte: GLOBOCAN, 2018

## 1.2. Carcinogênese

A carcinogênese é o processo de formação do câncer. Este processo ocorre lentamente podendo levar anos até que seja possível visualiza-lo na forma de tumor. De modo geral, o crescimento celular possui um controle complexo e dependente de expressão gênica (BERRY, 2018; CLEMENTINO; SHI; ZHANG, 2018; COZAR et al., 2018). Os processos de crescimento e diferenciação celular são controlados pelos protooncogenes, estes por sua vez também são responsáveis pelo controle da divisão mitótica (BINATO et al., 2018; LALLEMAND et al., 2018).

Por meio de ativações anormais dos genes ou mutações gênicas, os protooncogenes são transformados em oncogênese. Estes por sua vez, tendem a aumentar a produção de proteínas para estimulação da divisão, inibição da diferenciação e da morte celular, desta forma, dão origem as células cancerosas, que se multiplicam de modo superior e procuram a eternidade (MA et al., 2017; MAZONAKIS et al., 2017).

A carcinogênese pode ser dividida em quatro estágios : início, promoção, conversão maligna e progressão tumoral. (LIU; SANIN; WANG, 2017; SAK, 2017; ZHANG et al., 2017). (Figura 1 e 2) (CALADO et al., [s.d.]; XU et al., 2019a).

O primeiro estágio é caracterizado por um período em que ocorrem modificações irreversíveis (LEDFORD, 2017; MENG et al., 2017). Essas modificações podem ser causadas por agentes externos e/ou internos que ocasionam modificações estruturais durante a síntese de DNA, acarretando mutações, resultando em modificação gênicas, e a formação de clones celulares anômalos (HUSSAIN et al., 2019; LIU et al., 2019a).

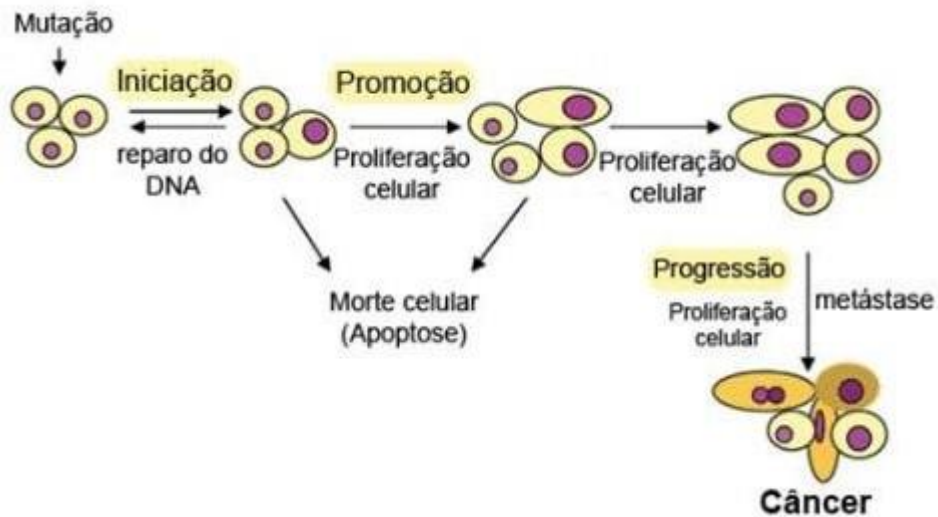
O segundo estágio, a promoção, é o momento onde ocorre a expansão dos clones das células modificadas/danificadas, no entanto, até este momento as células não apresentam caráter oncológico. Para tal necessitam de um ativador metabólico, que irá ser responsável para a conversão maligna. (MATSUI et al., 2017; RAHMAN et al., 2017; SCHMIDT et al., 2018).

No terceiro estágio as células podem ser convertidas e passar a expressar o fenótipo maligno. Uma fração destas células poderão sofrer divisões em tumores benignos ou lesões pré-neoplásicas, alguns fatores externos, como a alimentação e a exposição excessiva a hormônios, por exemplo, são fatores que influenciam a transformações de células em malignas (XU et al., 2019b; ZHANG; ZHANG, 2018).

O quarto estágio, a progressão, caracteriza-se pela propensão de células malignas em adquirir características agressivas ao longo do tempo, assim como a capacidade de metastatizar, associada a capacidade das células tumorais em excretar proteases que invadem outros lugares distantes do tumor primário e desta forma instalam-se e evoluem até as primeiras manifestações clínicas da doença (CAO, 2017; MOMMERSTEEG et al., 2018; TAO et al., 2019).

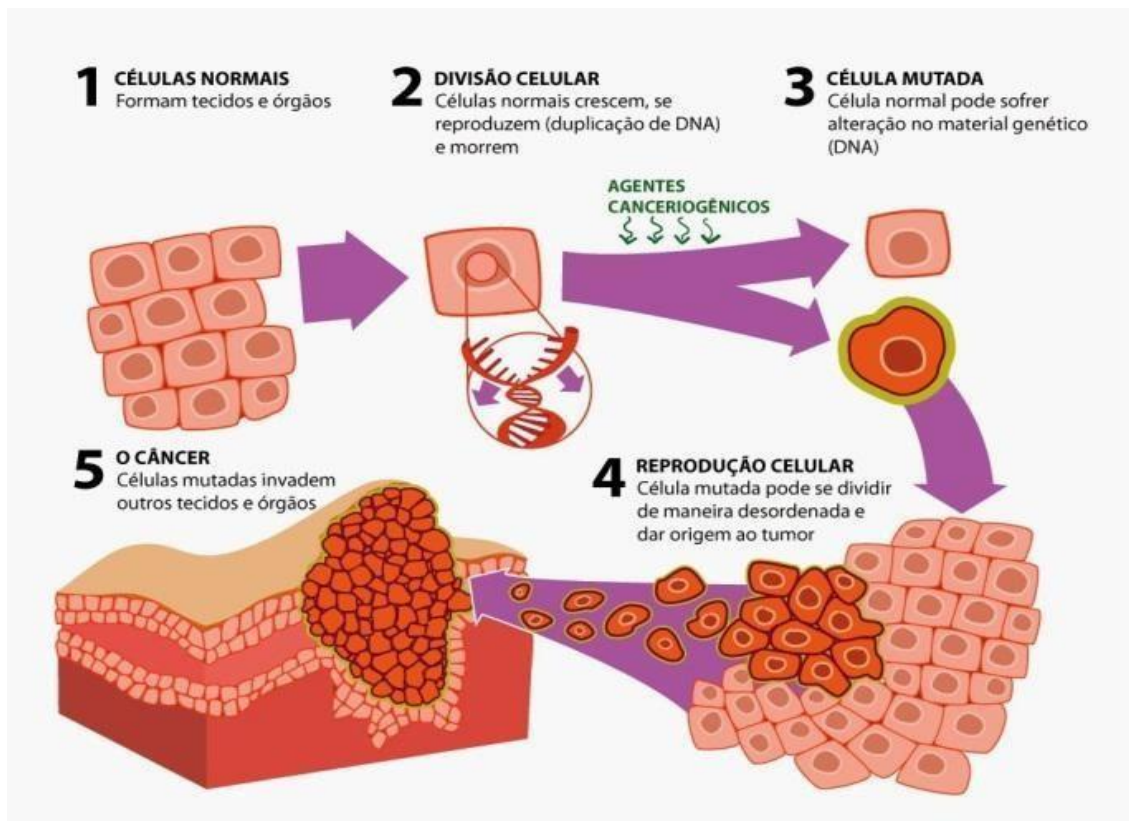
O processo de multiplicação desordenada de células forma novos vasos sanguíneos (angiogênese) que são responsáveis pelo abastecimento de nutrientes de forma adequada para as células cancerígenas. Estes vasos podem se desprender destes tumores e invadir tecidos adjacentes, posteriormente podem atingir a circulação sanguínea e desta forma atingir órgãos e assim, ajudar no processo de metástase também (MILLER; CONOLLY; KIMBELL, 2017; PIOTROWSKI et al., 2017; XU et al., 2017).

Figura 1- Etapas da formação de tumores



Fonte: Inca – Instituto Nacional de Câncer – Ministério da Saúde, 2016

Figura 2 - Esquema da formação de tumores



Fonte: Hospital Hélio Angotti, 2017

### 1.3. Câncer de Pulmão

O câncer de pulmão representa um dos tipos de câncer com maior incidência e mortalidade associada em todo o mundo. É o segundo mais comum para ambos os sexos e representa mais de 13% de todos os novos casos de câncer (EGE AKTAS; SARIKAYA; SOYLUOGLU DEMIR, 2017; JUNG et al., 2018). Durante a última estimativa mundial realizada em 2012, foram apontados 583 mil novos casos em mulheres e 1,24 milhões de novos casos em homens. No Brasil mais de 26 mil pessoas morreram em 2015 devido ao câncer de pulmão (Gráfico 2) (GAO et al., 2018; GUO; ZHENG, 2019). O tabagismo, bem como a exposição passiva aos derivados de tabaco são fatores de risco associados ao desenvolvimento de câncer de pulmão, em 85% dos casos. (Figura 3) (HAIDER et al., 2019; VAINSELBOIM et al., 2019).

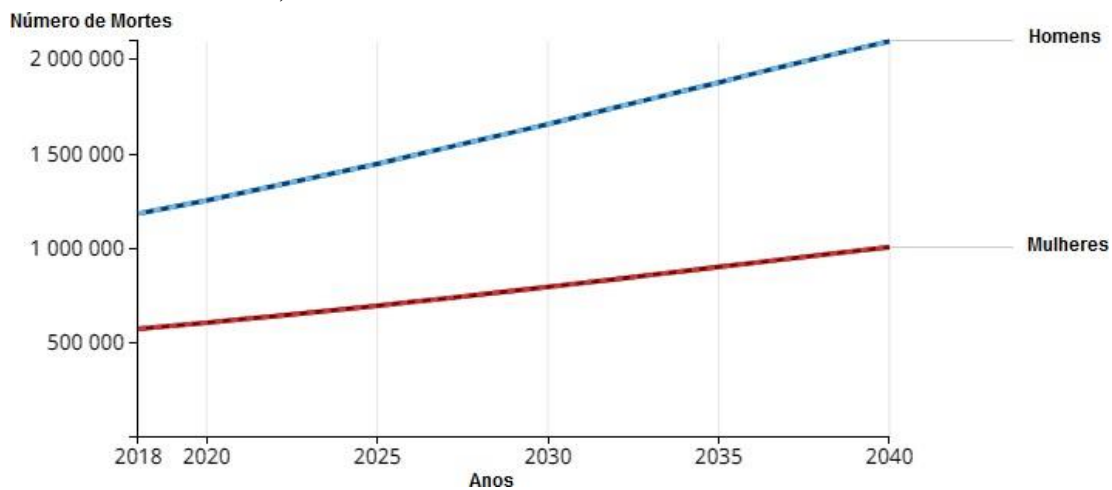
Em 90% dos casos, o câncer de pulmão é diagnosticado depois dos 50 anos, na faixa que compreende dos 60 aos 70 anos. Nas últimas décadas acreditava-se que o câncer de pulmão era uma patologia exclusiva do sexo masculino, contudo, dados recentes, apontam que isso era um mito, e os números de caso no sexo feminino vem aumentando progressivamente (KAPLAN, 2017; SAPPINGTON et al., 2018). Tal fato está associado a um maior consumo de tabaco e a uma maior dificuldade que mulheres apresentam em deixar de fumar. Não obstante, estudos comprovaram a maior susceptibilidade das mulheres aos efeitos cancerígenos dos componentes dos cigarros (ILIE et al., 2018; MAO et al., 2018; TAN et al., 2017).

O câncer de pulmão é caracterizado pelo surgimento de tumores nas células pulmonares. O mecanismo natural de multiplicação celular sofre alterações que levam ao crescimento desordenado de células mutantes com alto grau de malignidade nos pulmões (CARRERAS; GORINI, 2017; KURISHIMA et al., 2017; OBEID; PIETRZIK, 2018). O surgimento de tumores em decorrência desta mutação pode ocorrer não somente nos pulmões, como podem estar associados ao trato respiratório (FENG et al., 2017; NOMORI et al., 2018; VERGHESE; REDKO; FINK, 2018).

A identificação do tipo de câncer é feita através de análise de amostra das lesões. A partir disso, o câncer de pulmão pode ser dividido como: câncer de pulmão de células não pequenas (CPNCP) e câncer de pulmão de células pequenas (CPPC). Além dessa divisão, o câncer de pulmão de células não pequenas é subdividido em três

subtipos: Adenocarcinoma (inclui o bronquíolo-alveolar, subtipo mais raro), Carcinoma de células escamosas e Carcinoma de células grandes (AKBARI SARI et al., 2017; BERTAGLIA et al., 2017; NAKAMURA; SANAI; MIWA, 2018; SHARMA; GOEL; LAL, [s.d.]).

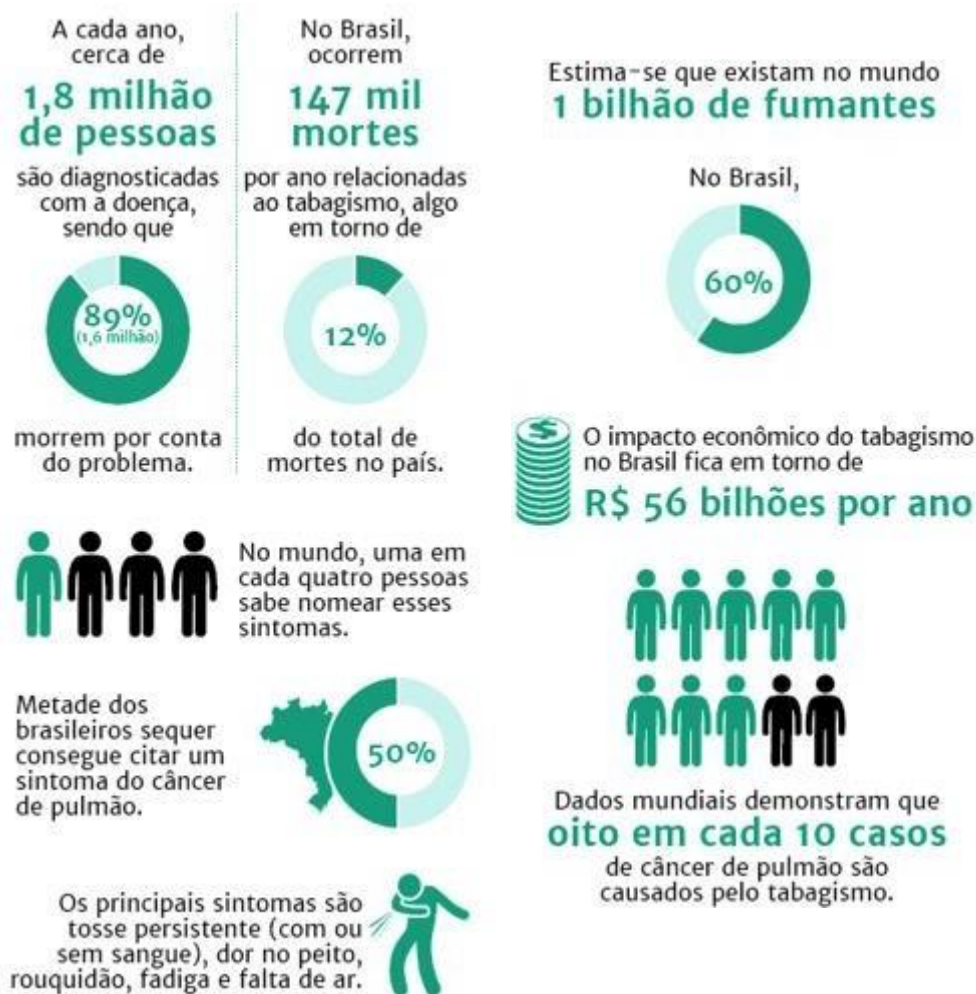
Gráfico 2 - Número estimado de mortes por câncer de pulmão de 2018 a 2040, masculino e feminino, todas as idades.



Fonte: GLOBOCAN, 2018



Figura 3 - Números do câncer de Pulmão no Brasil e no Mundo.



Fonte: Adaptação de Global Lung Cancer Coalition, 2018

### 1.3.1. Câncer de Pulmão de Células Não Pequenas (CPCNP)

O câncer de pulmão de células não pequenas, também chamado de câncer de pulmão indiferenciado, apresenta um crescimento mais lento quando comparado a outros tipos de câncer, entretanto, sua capacidade em metastatizar é muito maior (DYER; DALY, 2017; HARDESTY; KANAREK, 2018; MAMDANI; JALAL, 2017; WOODFORD et al., 2017). De maneira geral, este tipo de câncer representa 80% dos casos de câncer de pulmão, podendo acometer qualquer parte do tecido pulmonar e na maioria das vezes se manifesta como nódulos ou massas periféricas,

podendo, também, acometer a a região pleural (IN et al., 2017; JACKY; BAIK, [s.d.]; ZHANG; YU; SHEN, 2018). Devido ao tipo de tecido e o local de crescimento do câncer pulmonar, ele pode ser dividido em dois tipos:

Tabela 1- Estágios do Câncer de Pulmão de Células Não Pequenas.

Estágio do Câncer de Pulmão de Células Não Pequenas	
Estágio 1A	O câncer é apenas nos pulmões, não está em nenhum dos gânglios linfáticos e tem menos de 3cm de diâmetro.
Estágio 1B	O câncer é apenas nos pulmões, não está em nenhum dos gânglios linfáticos, porém, pode ser: <ul style="list-style-type: none"> <li>• O câncer é maior que 3cm.</li> <li>• O câncer está crescendo na via aérea principal do pulmão ou no revestimento interno do pulmão.</li> </ul>
Etapa 2A	O câncer tem menos de 3cm, mas se espalhou para os nódulos linfáticos mais próximos do pulmão afetado.
Estágio 2B	<ul style="list-style-type: none"> <li>• O câncer é maior que 3cm e há câncer nos gânglios linfáticos mais próximos do pulmão afetado.</li> <li>• O câncer cresceu para outras áreas próximas ao pulmão afetado, como a parede torácica.</li> </ul>
Estágio 3A	<ul style="list-style-type: none"> <li>• O câncer se espalhou para os gânglios linfáticos mais longe do pulmão afetado, mas ainda do mesmo lado do tórax.</li> <li>• Há câncer apenas nos gânglios linfáticos mais próximos do pulmão afetado, mas o câncer se espalhou para a parede torácica ou para o meio do peito.</li> </ul>
Estágio 3B	<ul style="list-style-type: none"> <li>• O câncer se espalhou para os gânglios linfáticos do outro lado do tórax ou para os nódulos acima da clavícula.</li> <li>• Há mais de um tumor no pulmão.</li> <li>• O tumor cresceu em outra parte principal do seu peito.</li> <li>• Há fluido em torno de seus pulmões que contém células cancerígenas.</li> </ul>
Estágio 4	O câncer se espalhou para outra parte do corpo, por exemplo, fígado ou ossos.

Fonte: Adaptação de Global Lung Cancer Coalition, 2018

#### 1.3.1.1. Adenocarcinoma Pulmonar

Este tipo de tumor acomete, principalmente, ex-fumantes e é o tipo mais comum em pessoas não fumantes. A maior incidência deste tipo de câncer está associada a mulheres jovens (JOUINOT et al., 2018; YAMASAKI et al., 2018; YANG et al., 2018b). O adenocarcinoma pulmonar é frequentemente encontrado nas áreas externas do pulmão, possui um crescimento lento, tornando possível seu diagnóstico antes da metástase. Os tumores se iniciam em células de revestimento alveolar responsáveis pela produção de muco (LEE,

2018b; LIM et al., 2018a; LIU et al., 2019b; STANKOVIC et al., 2019b).

#### 1.3.1.2. Carcinoma Escamoso ou Epidermóide

Este tipo de câncer está frequentemente associado a fumantes, geralmente acomete pessoas idosas com histórico de tabagismos por longos períodos (LI et al., [s.d.]; OGHALAIE et al., 2017). É caracterizado pelo crescimento irregular de células do revestimento do interior das vias aéreas na região central dos pulmões próximo aos brônquios (AIRES et al., 2017; WANG et al., 2017). O crescimento deste tumor pode levar a um bloqueio na passagem de ar nos pulmões levando a dificuldade de respiração. Este tipo de tumor, em grande parte dos casos, pode ser removido através de cirurgias (MAHFOUD et al., 2017; WANG; YANG; ZHUANG, 2017; YU et al., 2017).

#### 1.3.2. Câncer de Pulmão de Pequenas Células (CPPC)

Este tipo de câncer representa aproximadamente 20% dos casos de câncer de pulmão e atinge ambos os sexos, além disso, está associado ao tabagismo ao longo da vida (DEAN; SUBEDI; LEE, 2018a; GLATZER et al., 2017; ZHANG; SUN; JIANG, 2018a). De forma geral, o CPPC tem seu início nos brônquios, localizado na região central do pulmão e vias aéreas, sendo considerado a forma mais agressiva de câncer de pulmão (DEAN; SUBEDI; LEE, 2018b; ZHANG; SUN; JIANG, 2018b). A metástase ocorre de forma rápida e progressiva. Em termos gerais, 60% dos pacientes diagnosticados com CPPC apresenta a doença em estágios avançados e com metástase (FRENZEL et al., 2018a, 2018b; PETRUSEVSKA et al., 2017; XIONG et al., 2018).

Tabela 2 - Estágios do Câncer de Pulmão de Pequenas Células

<b>Estágio do Câncer de Pulmão de Pequenas Células</b>	
Estágio limitado →	O câncer é apenas em um pulmão
Estágio extensivo →	O câncer se espalhou para outras partes do corpo

Fonte: Adaptação de Global Lung Cancer Coalition, 2018

### 1.3.3. Outros Tipos de Câncer de Pulmão

#### 1.3.3.1. Mesotelioma

Este é o tipo de câncer pulmonar associado a um histórico de exposição a amianto, geralmente associado a trabalhadores diretamente ligados a indústria de produção de produtos que contenham amianto (BLYTH; MURPHY, 2018; SADDOUGHI; ABDELSATTAR; BLACKMON, 2018). Frequentemente, este câncer afeta principalmente homens na faixa dos 35- 40 anos. (MORIYAMA et al., 2017; TRANCHANT et al., 2018; YIN et al., 2017).

O Mesotelioma se desenvolve nos revestimentos pulmonares e aumenta a capacidade de produção de fluidos, no entanto, esses fluidos não são facilmente expelidos e necessitam de drenagens frequentes para a melhora da respiração do paciente (CROVELLA et al., 2018; QIN et al., 2017; TSAO et al., 2018; XING et al., 2018).

#### 1.3.3.2. Tumor Carcinoide

No geral, esse câncer representa de 1 a 2% dos casos de câncer de pulmão. Os tumores têm início nas vias respiratórias menores e nas periferias dos pulmões (CHU; EL-ANNAN, 2018; KIDD et al., 2018; OUEDE et al., 2017). Esse tipo de tumor pode causar bloqueio parcial ou total da passagem de ar e possui sintomas muito parecidos com pneumonia, este fato é o principal responsável pelo diagnóstico tardio, uma vez que os médicos só conseguem diagnosticá-lo após o uso de antibióticos onde observam a permanência dos sintomas

(ALJASSEM; ALJASEM, 2018; MOHAPATRA et al., 2017; OLOFSON; TAFE, 2018; VAHIDI et al., 2018).

Estes tumores são assintomáticos nos estágios iniciais, porém, em estágios avançados interferem na respiração e seu diagnóstico é realizado por radiografia ou tomografia onde podem ser observados pontos (CUSUMANO et al., 2017).

#### 1.4. Diagnóstico

De maneira geral, o diagnóstico do câncer de pulmão é crucial para o aumento da sobrevida do paciente. O diagnóstico, na sua maioria é proveniente de análises tomográfica de tórax ou radiografia de tórax, em casos mais avançados, é necessária uma biópsia do tumor para garantia de identificação do tipo de tumor em questão (CHATURVEDI et al., 2018; SNOECKX et al., 2019). A escolha do procedimento ideal para a identificação do tumor vai depender da localização da massa a ser investigada. Quando em regiões mais centrais do pulmão, é recomendado a Broncoscopia (Figura 4) (BRUN et al., 2018; QIN; DUA, 2017). Tumores localizados em regiões periféricas do pulmão, região de surgimento do adenocarcinoma, são recomendados procedimentos mais eficientes como a biópsia guiada por tomografia (Figura 5) (LIM et al., 2018b; SNOECKX et al., 2017; WINK et al., 2019; WOLF et al., 2019).

Em alguns casos, os pacientes podem apresentar os linfonodos aumentados em regiões pulmonares próximas ao tumor ou na região central do tórax, chamada de mediastino. Nestes casos, onde há suspeita de invasão dos linfonodos, é indicado a retirada por cirurgia para uma correta avaliação, este procedimento é denominado mediastinoscopia (BOUGIOUKAS; SEIPELT; HUWER, 2019; SPEAR et al., 2019). Em determinados centros especializados, a biópsia do mediastino também pode ser realizada por ultrassom endoscópico através do esôfago ou até mesmo através dos brônquios grandes localizados no mediastino (EGBERTS et al., 2019; OĞUZ KAPICIBAŞI, 2019; SANTOS SILVA; COSTA; CALVINHO, 2019).

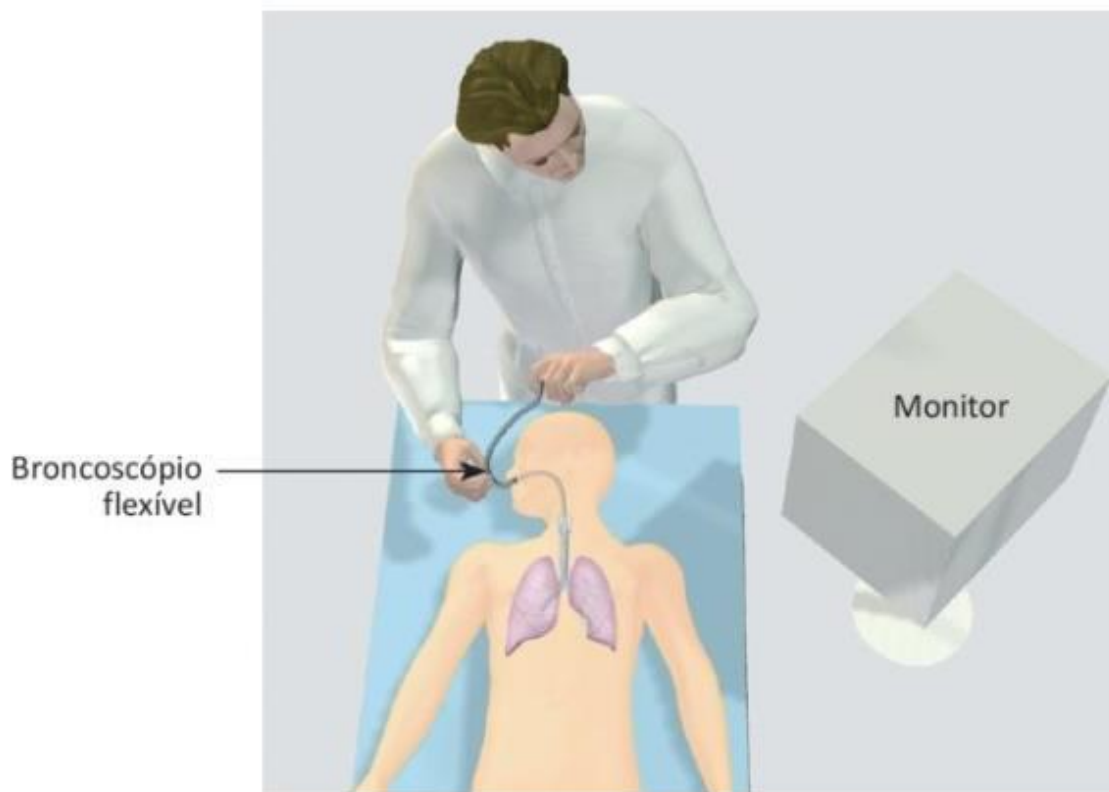
#### 1.4.1. Tomografia computadorizada por emissão de fóton único (SPECT)

A tomografia computadorizada por emissão de fóton único, também concedida como SPECT, foi desenvolvida nas décadas de 1960 e 1970 para ser amplamente disponível como uma opção de diagnóstico por imagem (D'ARIENZO; COX, 2017; GOLDKLANG et al., 2019). O sistema consiste em uma gama câmara com três detectores de NaI (Iodeto de Sódio), computador que permite a aquisição e processamento de dados e um sistema específico para exibição (DURMO et al., 2019; NUDI et al., 2019).

Para permitir a detecção do sistema SPECT, é utilizado radioisótopos que emitem radiação gama, tais como,  $^{99m}\text{Tc}$ ,  $^{123}\text{I}$ ,  $^{111}\text{In}$  e  $^{67}\text{Ga}$ , na forma de radiofármacos:  $^{99m}\text{Tc}$ -MAA,  $^{99m}\text{Tc}$ -MIBI,  $^{99m}\text{Tc}$ -ECD, entre outros. A radiação emitida por estes radioisótopos pode ser detectada pelo aparelho e processada em imagem (ABDOLLAHI et al., 2016; PRICE et al., 2019; SONG et al., 2019).

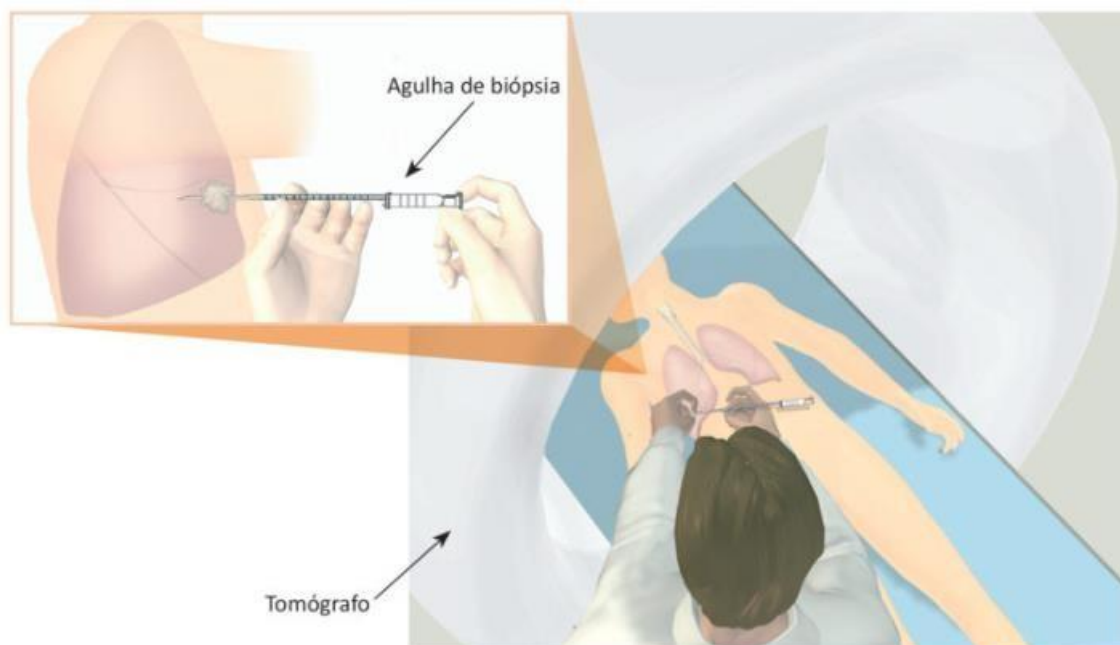
A sensibilidade da técnica de SPECT é muito alta, cerca de 95%, entretanto, a resolução anatômica é consideravelmente baixa, ficando próxima de 10 mm (HUTTON, 2014; KHALIL et al., 2011). Nestes casos, para uma localização mais precisa as imagens SPECT são necessariamente associadas a imagens de Tomografia Computadorizada e/ou Ressonância Magnética, visando oferecer uma avaliação mais eficaz (POLYAK; ROSS, 2018; SONG et al., 2017; YANG et al., 2018a).

Figure 4 - Realização do procedimento de Broncoscopia



Fonte: Instituto Vencer o Câncer, 2016

Figura 5 – Realização do Procedimento de Broncoscopia Guiado por Tomografia



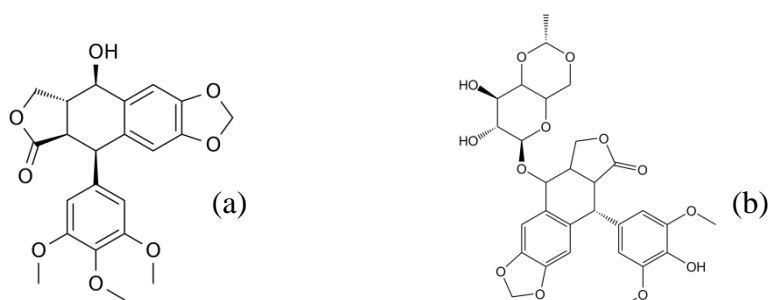
Fonte: Instituto Vencer o Câncer, 2016

### 1.5. Etoposídeo

O fosfato de Etoposídeo é um medicamento utilizado com a finalidade de retardar o crescimento de tumores variados. Este medicamento é um derivado semissintético da podofilotoxina, substância tóxica pertencente à classe das lignanas (PATEL et al., 2016; SAMBASIVAN et al., 2014). Esta substância é extraída do vegetal conhecido como Mandrágora Americana (*Podophyllum Peltatum* L.) que exibe atividade antitumoral (CAI et al., 2019; SAROJ; RAJPUT, 2018). A baixa toxicidade do Etoposídeo, quando comparado a podofilotoxina, é devido a mudanças conformacionais na estrutura da molécula, assim como a inserção de uma unidade de glicose a estrutura da podofilotoxina. (Figura 6)(BOYÉ et al., 2017; CORDERO et al., 2017; POLISTENA et al., 2017) .

A utilização desse medicamento no tratamento de câncer se deve a sua capacidade inibitória dos processos de multiplicação celular, mais especificamente, a inibição da topoisomerase II (LEGUAY et al., 2016; PEREZ-SOMARRIBA et al., 2019; WANG et al., 2019b; ZHANG et al., 2019a). Desta maneira, as células tumorais são impedidas de crescer, uma vez que seus processos de divisão são parados. O Etoposídeo atua na fase G2 do ciclo celular, através de indução à ruptura na alça dupla do DNA devido a sua interação enzimática com a DNA-topoisomerase II, assim o ciclo celular não pode ser concluído (FARNAULT et al., 2019; INABA et al., 2017; LAMARCA et al., 2018; SRINIVAS et al., 2015).

Figura 6- Estrutura Química da Podofilotoxina (a) comparado a estrutura do Etoposídeo (b)



Fonte: Autor



## 1.6. Radiofármacos

De acordo com definições da ANVISA, os radiofármacos são preparações farmacêuticas cuja a finalidade é diagnóstica ou terapêutica e que, quando prontas para o uso, devem conter um ou mais radionuclídeos (CAROLLO et al., 2019; KUNOS; CAPALA; IVY, 2019). Podem compreender componentes não-radioativos para marcação e os radionuclídeos, incluindo os componentes extraídos dos geradores de radionuclídeos (ANVISA, 2009). Para que um radionuclídeo seja ideal para uso ele deve possuir facilidade em ser produzido e meia vida física e biológicas suficientes para serem utilizadas nos procedimentos ao qual se destinam (AL-HADDAD; ISMAILANI; ROTSTEIN, 2019; BRANDT et al., 2019; KUNOS et al., 2019)

Cerca de 95% dos radiofármacos são utilizados para o diagnóstico, enquanto a porcentagem restante é utilizada com finalidade terapêutica (FAY; HOLLAND, 2019). Devido a sua principal fonte de administração ser a via intravenosa, devem apresentar grau de esterilidade, ser isentos de pirogênios e passar por todos os testes de controle de qualidade utilizados para medicamentos estéreis (KUNOS et al., 2019; MANTEL; WILLIAMS, 2019; OMAR et al., 2019)

Os medicamentos caracterizados como radiofármacos apresentam dois componentes: um radionuclídeo emissor de radiação de interesse, podendo ser  $\alpha$  (alfa),  $\beta$  (beta) ou  $\gamma$  (gama), alguns radionuclídeos podem emitir mais de um tipo de radiação e por este motivo apresentam atividade teranóstico (terapia + diagnóstico) e um carreador que possua afinidade com o sítio alvo (DE SILVA et al., 2019; SCHMEISER et al., 2019; USMANI et al., 2019). Os radionuclídeos utilizados para diagnósticos, são geralmente, os emissores de raios gamas e pósitrons, uma vez que ambas as radiações atravessam o tecido com facilidade e são facilmente detectados por SPECT e PET, respectivamente. Cerca de 80% dos exames em medicina nuclear com finalidade diagnóstica utilizam o tecnécio 99 metaestável ( $^{99m}\text{Tc}$ ) (KHAN et al., 2019; YANG et al., 2019a).

O tecnécio-99m apresenta uma meia física vida de 6,01 horas, é um radionuclídeo filho do Molibidênio-99, cuja meia vida física é de 66,02 horas (Figura 7). O decaimento radioativo dessa forma, possibilitou o desenvolvimento de geradores baseados em eluições que permitem a produção de radiofármacos de

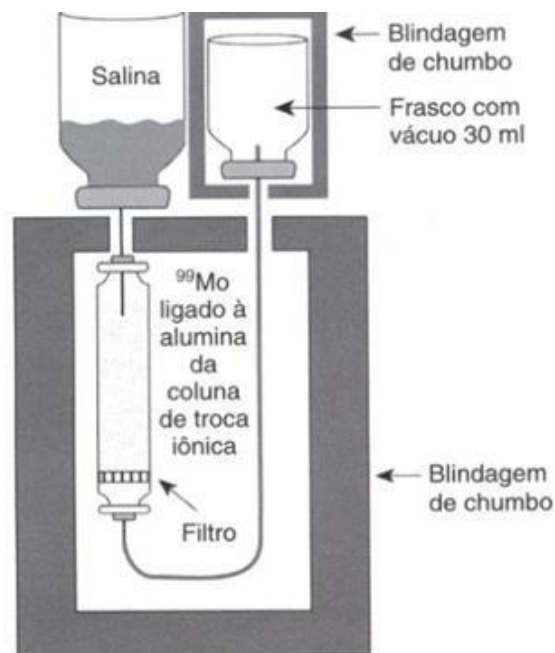
forma diária em radiofarmácias dos serviços de medicina nuclear (DING et al., 2019a; JIANG; HOU; CHENG, 2019).

Os radiofármacos utilizados para terapia se baseiam em propriedades específicas dos radioisótopos chamadas de LET (linear Energy transfer, ou em português, transferência linear de energia). Desta maneira, os radioisótopos liberam quantidades de energias ionizantes que se depositam sobre a matéria causando a sua destruição, neste caso, da massa tumoral (SHARMA et al., 2019; SMALL; RUDDY, 2019a). Seguindo o conceito de Bergonie e Tribondeau, a sensibilidade que as células apresentam à radiação, é diretamente proporcional a sua capacidade de reprodução e inversamente proporcional ao seu grau de especialização (BRASSE; NONAT, 2015; MCCREADY, 2017).

Atualmente acredita-se que o futuro da radiofarmácia e da medicina nuclear se baseiam no uso de radionuclídeos na forma de nanopartículas (nanorradiofármacos) (ANTUGANOV et al., 2019; DING et al., 2019b; SMALL; RUDDY, 2019b). Esta modalidade permite o uso de moléculas, tais como: anticorpos, peptídeos e siRNA. Estas biomoléculas possuem afinidade pelo organismo ao qual se deseja tratar pois, em sua grande maioria, são sintetizados tendo como base uma molécula do próprio organismo (YADAV; DHAGAT; ESWARI, 2019). Por esse motivo se mostram com excelentes carreadores devido as suas características e similaridade que permitem um direcionamento da radiação ao tumor e possibilitando um uso mais eficaz (KLAUNIG, 2019; WANG et al., 2019c; ZHOU et al., 2019).

Para a produção do  $^{99m}\text{Tc}$ , os serviços de medicina nuclear fazem uso do gerador de molibdênio-99/tecnécio-99 metaestável ( $^{99}\text{Mo}/^{99m}\text{Tc}$ ). O  $^{99}\text{Mo}$  é produzido em um reator nuclear através da ativação do molibdênio natural enriquecido ( $^{98}\text{Mo}$ ) com nêutrons ou através da fissão do  $^{235}\text{U}$ . Após o processo de purificação química,  $^{99}\text{Mo}$ , na forma de ânion molibdato ( $\text{MoO}_4^{-2}$ ), é inserido em uma coluna de troca iônica contendo alumina ( $\text{Al}_2\text{O}_3$ ) em condições de meio ácido o que favorece a ligação do  $^{99}\text{Mo}$  a coluna. Com o decaimento do  $^{99}\text{Mo}$ , através da emissão de partículas beta, ocorre o surgimento do  $^{99m}\text{Tc}$ , atingindo sua atividade máxima 23 horas após sua última eluição. A meia vida do  $^{99}\text{Mo}$  é de 66 horas, sendo de 6,03 horas para o  $^{99m}\text{Tc}$ . O  $^{99m}\text{Tc}$ , por sua vez, decai por emissão de fótons gama, produzindo o rutênio-99 ( $^{99}\text{Ru}$ ), que é um isótopo estável (ZOLLE, 2007).

Figura 7 - Esquema de um Gerador de Molibdênio/ Tecnécio



Fonte: Lieverson, W., 2012

### 1.7. Nanotecnologia

Nos últimos anos a nanotecnologia vem ganhando destaque com um investimento considerável para a pesquisa e desenvolvimento de novas estruturas em escala atômica e molecular (EVANS et al., 2018; RAVANSHAD et al., 2018). Com potencial de aplicação em diversas áreas, destaca-se sua aplicação em saúde. (ANDREOU et al., 2017; IQBAL et al., 2018; JONES; SABA, 2011).

As NP's são confeccionadas para serem direcionadas a células e tecidos alvos permitindo a melhora da eficácia, diminuição dos efeitos colaterais e aumento da biodistribuição. As variadas formulações de nanopartículas são investigadas para uso pré-clínico e clínico como sistema de entrega de fármacos como nanorradiofármacos (ARAMI et al., 2019; KHEIRKHAH et al., 2018; MUOTH et al., 2016; UPPAL et al., 2018).

### 1.7.1. Mecanismo de Absorção de Nanopartículas

As propriedades físico-químicas das NP's e as condições do meio celular influenciam diretamente a sua internalização. Algumas características podem influenciar tal internalização, tais como: tamanho, forma, carga superficial, grupos funcionais de superfície e hidrofiliicidade (Figura 8) (COOPER; CONDER; HARIRFOROOSH, 2014; SEVERINO et al., 2011).

O mecanismo de internalização de NPs é descrito na literatura como via endocítica e via não endocítica. Para o mecanismo de via não endocítica, é descrito meios como difusão ou transporte ativo (DING et al., 2015). Na difusão o gradiente molecular de alta concentração é reduzido, isso geralmente ocorre para moléculas pequenas, hidrofóbicas e sem carga. As NPs lipossolúveis também possuem a capacidade se difundir através da membrana (DREIFUSS et al., 2018; DRUDE; TIENKEN; MOTTAGHY, 2017).

Moléculas que apresentam solubilidade em água atravessam membranas de forma passiva através de poros, esse processo é chamado de difusão facilitada (EDGAR; WANG, 2017; HU et al., 2017; SANTAMARIA et al., 2017). Os poros permitem que NPs de uma certa faixa de tamanho e carga elétrica atravessem a membrana. As NPs maiores atravessam a membrana com auxílio de proteínas transportadoras contra o gradiente de concentração (ANSARI et al., 2016; BOYD et al., 2019; LAW et al., 2017).

A via endocítica também apresenta uma dependência relacionada ao tamanho da partícula e das modificações de superfície. As Partículas na escala de micrômetros tendem a entrar nas células através de fagocitose ou macropinocitose (COOPER; CONDER; HARIRFOROOSH, 2014; DARAEI et al., 2016). A fagocitose será a responsável pelo direcionamento e conseqüentemente, a formação de protuberâncias de membrana que tendem a envolver as partículas e as direcioná-las para o meio intracelular (COOPER; CONDER; HARIRFOROOSH, 2014; LU; LV; LI, 2019; YANG et al., 2019b; ZHANG et al., 2019b).

A macropinocitose se refere a um processo onde há uma regulação por actina. A actina desempenha um papel importante no processo de

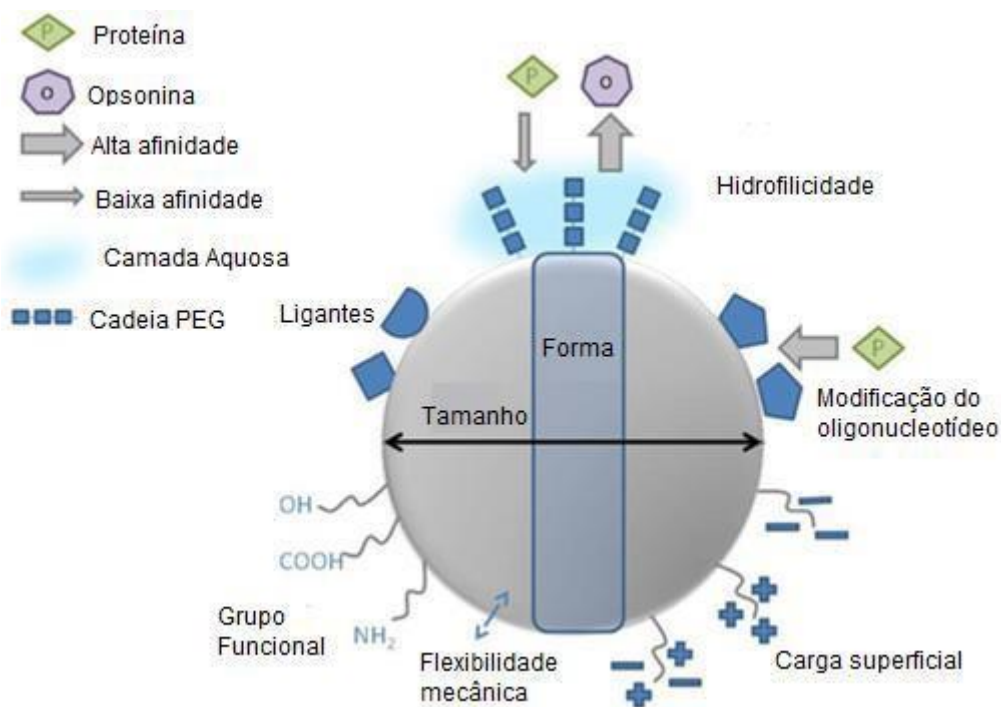
captação e projeção da membrana que será rearranjada e formará microfilamentos capazes de captar o fluido extracelular com as nanopartículas (BARBOSA et al., 2016; COHEN-PFEFFER et al., 2017). O englobamento de uma grande quantidade de fluido extracelular e nanopartículas acontece através do enrugamento da membrana plasmática (SARAVANAKUMAR; KIM; KIM, 2017; WEI et al., 2016).

Nos processos de endocitose que são mediados por clatrina, a ligação receptor-ligante desencadeia um recrutamento e formação de clatrina no lado citosólico da membrana plasmática. Este tipo de endocitose, mediada por clatrina, é a via endocítica mais comum explorada pelas nanopartículas (COOPER; CONDER; HARIRFOROOSH, 2014; KETTLER et al., 2014; LI; MONTEIRO-RIVIERE, 2016; ZHANG; GAO; BAO, 2015).

Nos processos de endocitose que são mediados por caveolina há uma montagem de camadas de caveolina do lado citosólico da membrana plasmática, que formam uma cavidade de 50 a 80 nm de diâmetro (Figura 9). De maneira geral, tanto a endocitose mediada por clatrina quanto a endocitose mediada por caveolina envolvem diversas cascatas de sinalização bioquímica complexas. No entanto, a entrada de NPs modificadas reguladas por sinalização bioquímica é pouco compreendida (KOCH et al., 2016; LI et al., 2017; TABERNERO et al., 2017).

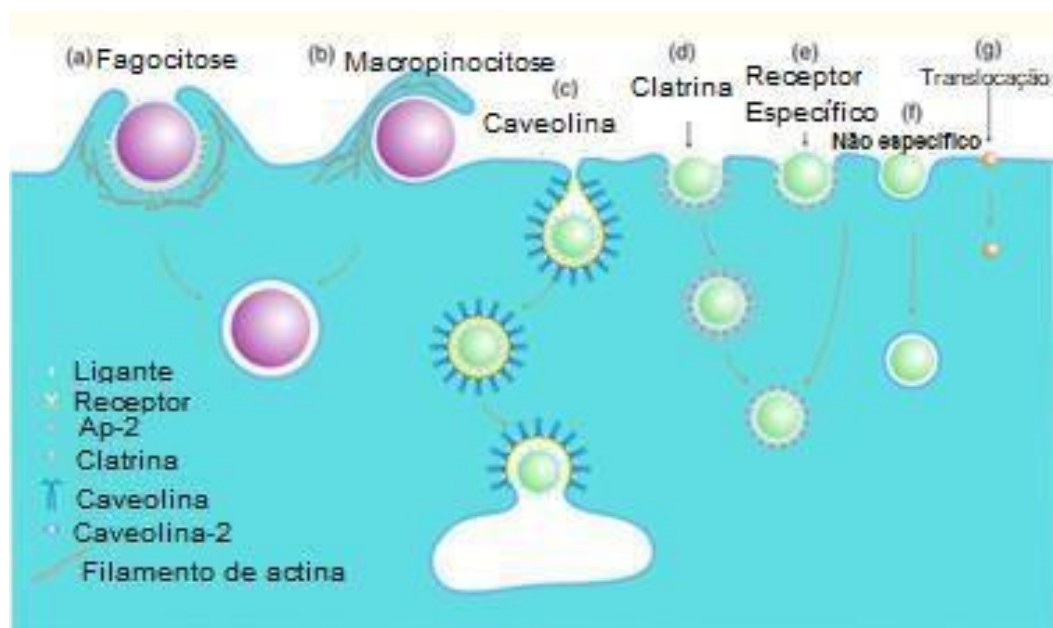
De maneira geral, os nanossistemas administrados por via endovenosa são acumulados na zona do tumor através do efeito de permeação e retenção (EPR) devido à arquitetura dos vasos sanguíneos desses tecidos doentes. A eficácia do efeito EPR está relacionada ao tamanho de partícula, carga de superfície ou hidrofobicidade (COOPER; CONDER; HARIRFOROOSH, 2014; DARAEI et al., 2016; HILL; MOHS, 2016; KARAMAN et al., 2018).

Figura 8 - Propriedades das nanopartículas que influenciam na sua absorção



Fonte: Adaptado de KETTLER et al., 2014.

Figura 9- Vias de internalização de nanopartículas



Fonte: Adaptado de ZHANG; GAO; BAO, 2015.

### 1.7.2. Nanopartículas Poliméricas

As nanopartículas poliméricas se apresentam como sistemas de carregamento de fármacos com diâmetro inferior a 1  $\mu\text{m}$ . São consideradas nanopartículas poliméricas estruturas como nanocápsula e nanoesferas, que se diferem basicamente em sua composição e organização de suas estruturas (MADKOUR; BUMAJDAD; AL-SAGHEER, 2019; STARSICH; HERRMANN; PRATSINIS, 2019). Esses sistemas são utilizados nas mais diversas aplicações, com a área de vetorização de fármacos se destacando como uma das mais promissoras. (CHAUDHARY et al., 2019; DESALEGN et al., 2019; ISLAM; DMOUR; TAHA, 2019). O destaque no uso de nanopartículas poliméricas se deve principalmente pelas pesquisas que demonstram elevada redução de efeitos adversos, assim como uma baixa toxicidade ao indivíduo e uma grande biocompatibilidade com diversas matérias e fármacos.

Os métodos de preparo destas NP's se baseiam em polimerização in situ de monômeros dispersos ou na precipitação de polímeros, tais como poli(ácido lático) (PLA), poli(ácido lático-co-ácido glicólico) (PLGA), poli( $\epsilon$ -caprolactona) (PCL) (FARAZ et al., 2019; GAKIYA-TERUYA; PALOMINO-MARCELO; RODRIGUEZ-REYES, 2018; MAZUMDER et al., 2019; MOFOKENG et al., 2019).

### 1.7.3. Micropartículas

O termo micropartícula faz referência ao tamanho associado a estas estruturas, que pode variar de 1 a 100  $\mu\text{m}$ . (RINTELMANN et al., 2019; YU et al., 2019; ZAHRAN et al., 2019). O uso de sistemas na forma de micropartículas, permite a proteção de fármacos contra fatores externos, assim como: proteção do princípio ativo, mucoadesão e gastrorresistência, melhor biodisponibilidade e maior adesão do paciente ao tratamento, além de permitir a eliminação de incompatibilidade entre ativos e possibilitar uma liberação prolongada (NAGY et al., 2019; WANG et al., 2019d).

Para que o processo de microencapsulação seja considerado ideal é necessário que apresente fatores como: rapidez, simplicidade, reprodutibilidade, baixo custo e apresentar facilidade no escalonamento industrial. Os métodos de encapsulamento baseiam-se na

hidrofilia/lipofilia dos fármacos empregados (ABBASI et al., 2019; BENAMEUR et al., 2019; HODGKINSON et al., 2019; SIERKO et al., 2019a, 2019b; SIWAPONANAN et al., 2019).

#### 1.7.4. Nanorradiofármacos

Os nanorradiofármacos são a associação dos radiofármacos a nanotecnologia, baseados na formação de um sistema nanométrico que contém um ou mais radioisótopos radioativos (COELHO et al., 2015; SANTOS-OLIVEIRA, 2017). (JIMÉNEZ-LÓPEZ et al., 2019; SANTOS-OLIVEIRA et al., 2016). A utilização de sistemas em nanoescalas permite que os radiofármacos permaneçam íntegros, impedindo sua degradação e melhora sua farmacocinética, seu tempo de retenção e captação celular (PORTILHO et al., 2018; SANTOS-OLIVEIRA; STABIN, 2018; SILVA et al., 2017).



## 2. Objetivo

Desenvolver e caracterizar micropartículas de Etoposídeo e radiomarcá-las com Tecnécio-99m, para obtenção de agente diagnóstico de tumores de câncer de pulmão em estágios iniciais.

### 2.1. Objetivos Específicos

- Produzir e caracterizar as micropartículas de Etoposídeo;
- Marcação das micropartículas de Etoposídeo o radionuclídeo Tecnécio-99m;
- Controle de qualidade das partículas radiomarcadas;
- Avaliação das concentrações das micropartículas de Etoposídeo radiomarcadas com Tecnécio-99m nos tumores xenoenxertados em imagens de SPECT;
- Avaliação da biodistribuição das  $^{99m}\text{Tc}$ -Etoposídeo em camundongos Balb/c nude saudáveis e induzidos (xenoenxertados).

### 3. Materiais e Métodos

A metodologia deste trabalho encontra-se descrita no artigo 1, intitulado *Diagnosing lung cancer using etoposide microparticles labeled with  $^{99m}\text{Tc}$*  publicado no *Artificial Cells, Nanomedicine, and Biotechnology* em 2017 de própria autoria.

Para fins de entendimento deste artigo, considerou-se nanopartículas (NP`s) as partículas abaixo de 1  $\mu\text{m}$  de diâmetro. Contudo, uma vez que a distribuição de tamanho das partículas obtidas exibiram estruturas acima de 1  $\mu\text{m}$ , convencionou-se chamar o conjunto de partículas obtidas como micropartículas (MP`s).

### 4. Resultados e Discussão

Os resultados e discussões deste trabalho encontra-se descrita no primeiro artigo, exibido a seguir, intitulado “**Diagnosing lung cancer using etoposide microparticles labeled with  $^{99m}\text{Tc}$** ”, publicado no periódico “**Artificial Cells, Nanomedicine and Biotechnology**”, em 2017, de própria autoria. Além deste artigo, cujo conteúdo apresenta os resultados experimentais desta tese, um segundo artigo de revisão sobre sistemas de nanopartículas radioativas para aplicações biomédicas encontra-se submetido ao periódico “**Advanced Drug Delivery Reviews**”. Este artigo encontra-se submetido até a data de defesa desta tese.

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## Diagnosing lung cancer using etoposide microparticles labeled with $^{99m}\text{Tc}$

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### ABSTRACT

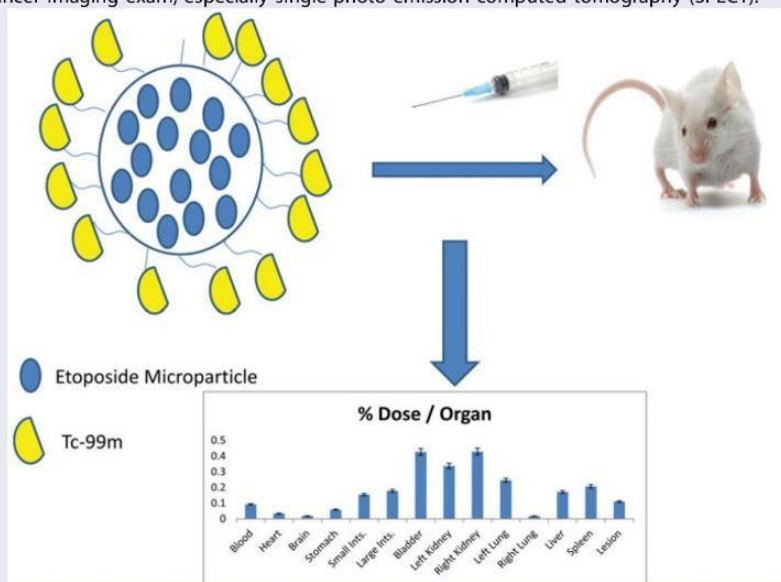
The diagnosis of lung cancer mostly occurs when the cancer is already in an advanced stage. In this situation, there are few options for the treatment and most of them have few chances of success. In this study, we developed and tested etoposide microparticles as a diagnostic agent for imaging lung cancer at early stages of development. We tested etoposide microparticles labeled with technetium 99m in induced mice. The results demonstrated that over 10% of the total dose used was uptake by the tumor site. Also, the results showed that the microparticles had a good renal clearance and low uptake by liver and spleen. The data suggest that these micro-radiopharmaceuticals may be used for lung cancer imaging exam, especially single-photo emission computed tomography (SPECT).

### ARTICLE HISTORY

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medicine



### Introduction

Lung cancer is the most deadly and the leading cancer killer in men since the early 1950s and of women since 1980s. The mortality rate is one the highest, raising this cancer to the fourth position in rank of death by cancer worldwide [1,2]. The lung cancer may be classified into: small-cell lung cancer (SCLC) and

non-small-cell lung cancer (NSCLC). SCLC arises in the midlevel airway and is a very aggressive, highly metastasizing and lethal cancer type that comprises 15% of all lung cancers. NSCLC is the major type of lung cancer and comprises 85% of all lung cancers. NSCLC includes lung adenocarcinoma, lung squamous cell carcinoma (LSCC), and lung large-cell carcinoma [3].



The statistics on cancer are very discouraging, ~13 million new cancer cases and 7.6 million cancer deaths occur each year worldwide [4]. In the last 40 years, the volume of financial resources for treatment, research and prevention of cancer was ~\$90 billion [5].

The treatment of lung cancer in USA and Europe remains cisplatin or carboplatin plus etoposide. The etoposide is a chemotherapeutic that works by blocking an enzyme (called topoisomerase II) interacting directly with the ATP-bound enzyme monomer in such a way that each molecule of etoposide stabilizes only a single-stranded break. Depending on the dose of etoposide, single-stranded or double-stranded DNA breaks are generated. Furthermore, the inhibition of topoisomerase II by etoposide is reversible and discontinuation of ternary complex allows quick DNA repair and diminishes the cytotoxicity of the drug, which is necessary for cancer cells to divide and so grow into two new cells. If the enzyme is blocked, the cell's DNA gets tangled up and the cell cannot divide [6,7]. However, lung cancer still has a poor prognosis, with an overall survival at 5 years ~15%. Unfortunately, in most patients (80%), the disease is diagnosed at an advanced stage (III–IV) and less in the early stages (I–II), when it would be potentially curable [2].

Imaging is one of most unique approach to visualize tumors in 3D concept. It may be done, normally, by computed tomography (CT), magnetic resonance imaging (MRI), single-photon emission computed tomography (SPECT) and positron emission tomography (PET). However, the first two modalities, i.e. CT and MRI shows a great number of limitations regarding the: (i) minimum detectable tumor (typically  $>1\text{ mm}^3$  (and often  $>125\text{ mm}^3$ ), (ii) usefulness to interrogate tumor micro-environment composition; (iii) limited coverage to a few  $\text{mm}^2$  and a depth of  $\sim 100\ \mu\text{m}$  before resolution is degraded by light scattering [8–15]. On the other hand, the use of techniques that employ radioactive material as imaging methods, like SPECT and PET may overcome this limitation and obtain images of high quality and specificity [14–17], especially with the use of nano and/or microparticles [18–21]. In this direction, we have developed the etoposide microparticle-labeled with  $^{99\text{m}}\text{Tc}$  as a diagnostic agent for lung cancer in order to develop a new micro-radiopharmaceutical for early, precise and precocious diagnosing of lung cancer.

## Materials and methods

### Development of etoposide microparticles

The etoposide microparticles were prepared by double emulsion-solvent evaporation method using polycaprolactone (PCL) as polymer. A solution of 50 mg of the drug (etoposide) in 200  $\mu\text{L}$  of 1% polyvinyl alcohol (PVA) aqueous solution was dripped into an organic solution of 50 mg of polymer in 2 mL of dichloromethane under agitation (Ultra-Turrax T10 Basic IKA, Hitachi, Wilmington, NC) for 1 min at 21,200 rpm. This first emulsion was emulsified again with 4 mL of PVA 1.0 wt% solution by homogenization at 21,200 rpm for 2 min to produce a water-in-oil-in-water (W/O/W) emulsion. Then, to form the particles the organic phase was removed by evaporation under reduced pressure during 1.5 h at 25 °C.

### Etoposide NPs mean size assessment

Etoposide microparticles size distribution, mean size and polydispersity index (PDI) were determined by dynamic light scattering (DLS) using the equipment Zetasizer Nano ZS (Malvern Instruments, Malvern, UK). Measurements were performed in triplicate at 25 °C and the laser incidence angle in relation to the sample was 173° using a 12  $\text{mm}^2$  quartz cuvette. The mean  $\pm$  standard deviation (SD) was assessed.

### Scanning electron microscopy

The morphology of etoposide microparticles were performed by scanning electron microscopy (SEM) (TM 3000; Hitachi). In this study three SEM images were performed, as followed: (i) immediate image (2 h) after the preparation of the microparticle; (ii) 4 h after the preparation of the microparticle and labeled with  $^{99\text{m}}\text{Tc}$  and (iii) 1 month after the preparation of the microparticle after labeling with  $^{99\text{m}}\text{Tc}$ .

### Labeling with $^{99\text{m}}\text{Tc}$ nano-radiopharmaceuticals

The method used was the direct-labeling process as described previously [22–26]. The labeling process used 150  $\mu\text{L}$  of the etoposide microparticles. First, 2 mCi ( $\sim 300\ \mu\text{L}$ ) solution of pertechnetate ( $\text{Na}^{99\text{m}}\text{TcO}_4^-$ ) was incubated with stannous chloride ( $\text{SnCl}_2$ ) solution (30  $\mu\text{L}/\text{mL}$ ) (Sigma-Aldrich, St. Louis, MO) for 20 min at room temperature. Then, this solution was incubated with 150  $\mu\text{L}$  of etoposide microparticles for 10 min, which labeled the microparticles with Tc-99m.

In order to characterize the labeled etoposide microparticles, paper chromatography was made using Whatman paper no 1 (triplicate). The paper chromatography was performed using 2  $\mu\text{L}$  of the labeled nanoparticle in acetone (Sigma-Aldrich, St. Louis, MO) as mobile phase. The radioactivity of the strips were verified in a gamma counter (Perkin Elmer Wizard® 2470, Shelton, CT) after 2 h. In order to confirm the stability of the labeling process of the etoposide microparticles, a lately paper chromatography was performed after 8 h (Tables 1 and 2).

### In vivo analysis

#### Tumor xenograft models

A549 cells (American Type Culture Collection, Manassas, VA) were cultured in RPMI (Gibco/Life Technologies Inc., Rockville,

**Table 1.** Percentage of labeled etoposide microparticles by ascending chromatograms of  $^{99\text{m}}\text{Tc}$  compared with free pertechnetate ( $\text{Na}^{99\text{m}}\text{TcO}_4^-$ ) in 2 h.

Time (h)	Labeling (%) $\pm$ SD
2	99.5 $\pm$ 0.4%

**Table 2.** Percentage of labeled etoposide microparticles by ascending chromatograms of  $^{99\text{m}}\text{Tc}$  compared with free pertechnetate ( $\text{Na}^{99\text{m}}\text{TcO}_4^-$ ) in 8 h.

Time (h)	Labeling (%) $\pm$ SD
8	98.9 $\pm$ 0.9%

MD) supplemented with 10% of fetal bovine serum (Gibco/Life Technologies Inc.) and 50  $\mu\text{g}/\text{mL}$  of gentamicin (Gibco/Life Technologies Inc.). Mycoplasma contamination in cultured cells was excluded using Lonza Mycoplasma Detection Kit (Portsmouth, NH).

Tumors were established by subcutaneous (sc) injection of  $2 \times 10^6$  A-549 cells at the back of seven 6-week-old male Balb/c nude mice. Tumor size was monitored for 3 weeks and measured by a caliper. The tumor size before imaging was  $\sim 2$  cm. Mice were observed three times per week for evidence of distress, ascites, paralysis or excessive weight loss.

#### Biodistribution studies

Evaluation of the biodistribution of etoposide microparticles were made with a Intervention Group using loaded microparticles etoposide labeled with  $^{99\text{m}}\text{Tc}$  ( $n = 7$ ). Mice were anesthetized with mix solution of 10% ketamine and 2% xylazine in volume of 15  $\mu\text{L}$  and administered intramuscularly (thigh). The micro-radiopharmaceuticals (3.7 MBq in volume of 0.2 mL) were administered by retro-orbital via. Mice were sacrificed by asphyxiation using a carbon dioxide gas chamber after 2 h (120 min) of radio-compound administration. Organs [brain, lungs, kidneys, stomach, small and large intestine, bladder, heart, blood pool and the xenografted tumor (lesion)] were removed, weighted and the activity in each organ, blood and tumor has been counted by a gamma counter (Perkin Elmer Wizard<sup>®</sup> 2470). The results were expressed as  $\mu\text{Ci}$  per organ.

#### SPECT imaging

SPECT were performed to obtain planar images after 120 min retro-orbital injection of the micro-radiopharmaceuticals (3.7 MBq in 0.2 mL), integrating for 5 min the radiation counts centered at 140 KeV, with a Millenium Gamma Camera (GE Healthcare, Cleveland, OH) using a 15% window. The images

were done in Balb/c nude mouse induced with lung cancer as described in xenografted model.

## Results and discussion

#### Etoposide NPs mean size assessment

Etoposide microparticles presented a mean size of  $430 \pm 10.2$  nm, with a PDI of  $0.23 \pm 0.02$  and a unimodal and narrow size distribution (Figure 1).

#### Scanning electron microscopy

The data from SEM corroborates the data from DLS. Also shows that the etoposide microparticles has a spherical shape and aggregates forming clots with a size range of 450 nm. Is important to notice that although with a higherrate of aggregation in the beging (2 h) it seems that this reach a optimal plateau, and that the aggregation stop trough the time, and after one in one moth is totally stable. Is also important to notice that the presence of  $^{99\text{m}}\text{Tc}$  does not interfere in the stability or in the morphology of the microparticles, as in the aggregation process (Figure 2).

#### Labeling with $^{99\text{m}}\text{Tc}$ micro-radiopharmaceuticals

The direct method used for labeling etoposide microparticles was a sucess and all the microparticles showed a rate over 99% of labeling (Table 1). The lately paper chromatography (after 8 h of labeling) also showed a great result and confirmed the stability of the labeling process (Table 2).

#### Biodistribution studies

The result of the biodistribution study is expressed in Figure 3. The results from biodistribution may show several information.

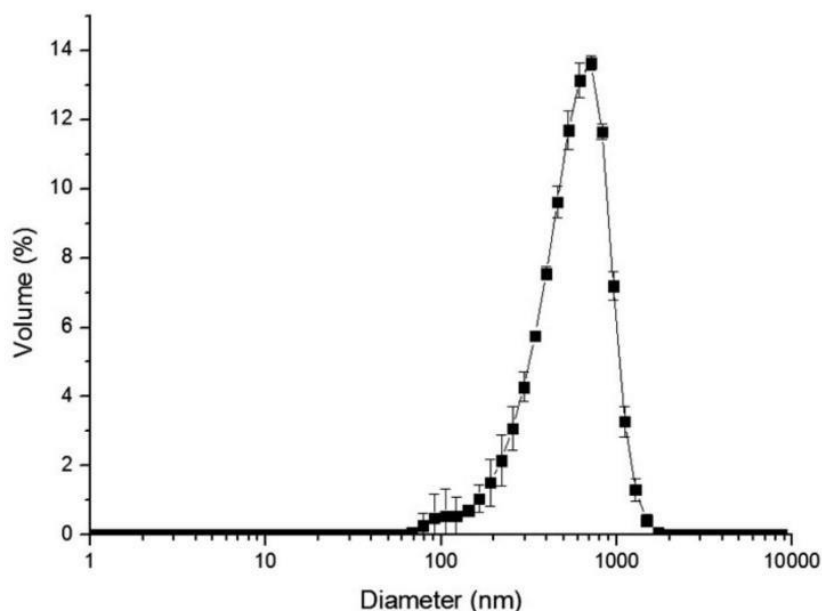


Figure 1. Etoposide microparticle mean size and size distribution.



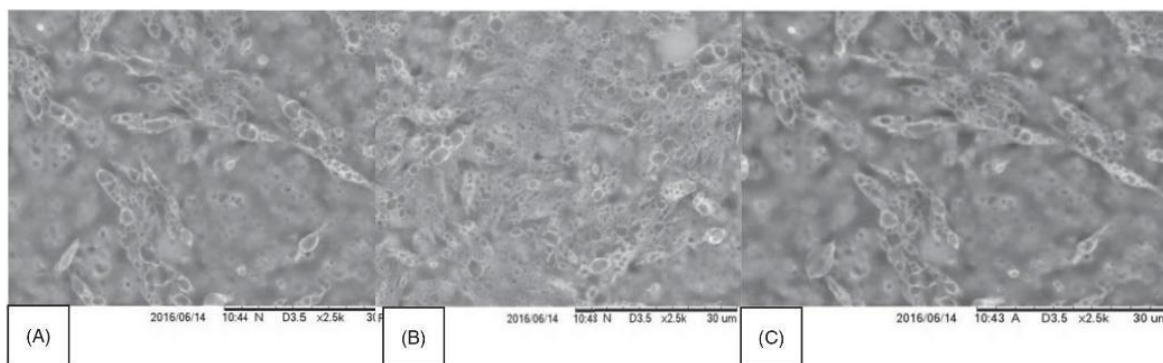


Figure 2. SEM image. The figure "A" is an immediate image, the "B" is after labeling with  $^{99m}\text{Tc}$  and "C" is 1 month after labeling with  $^{99m}\text{Tc}$ .

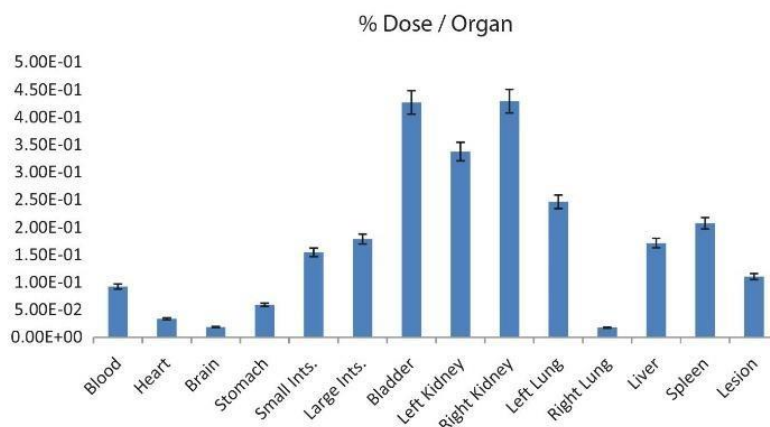


Figure 3. Biodistribution of labeled etoposide microparticles in induced mice with lung cancer (xenograph model). The y-axis is the dose in  $\mu\text{Ci}$  and the x-axis is the organ. Is important to observe that lesion means xenograph lung tumor.

First of all, is possible to observe that a considerable uptake occurred in the lesion (xenografted lung tumor), a total of  $\Sigma 0.11 \mu\text{Ci}$ . This is almost 15% of the total dose administrated. In general, for acquisition of an imaging is necessary that at least a value equivalent to 5% of the total dose reach the site. So, this result confirmed that this etoposide microparticle may be used as an imaging agent for lung cancer diagnosing. The etoposide microparticles also showed an expressive value  $\Sigma 0.33 \mu\text{Ci}$  and  $\Sigma 0.42 \mu\text{Ci}$  in left and right kidney, respectively. This means that the etoposide microparticles have a good renal clearance. These data are corroborated with the findings in bladder  $\Sigma 0.42 \mu\text{Ci}$ . The high uptake by the left lung  $\Sigma 0.24 \mu\text{Ci}$  is due the administration via (retro-orbital via). In this way, the drug reaches the blood through the ocular plexus via the vena cava. Once in the vena cava, the first way is the small circulation, reaching first the left lung and then the right lung, thus, we believe that most is retained in the pulmonary alveoli of the left lung due the size of the etoposide microparticles. The uptake by liver and spleen may be explained by the fact that polymeric microparticles could be retained in organs such as liver and spleen. Also, is possible that etoposide microparticles could activated liver macrophages, increasing the uptake by this organ [27,28]. The uptake by stomach and intestines could be explained due the fact that etoposide is substrate of several ABC transporters,

notably ABCB1 (MDR1) and ABCC1 (MRP1), ABCC2 (MRP2), ABCC3 (MRP3) and ABCG2 (BCRP), most of them present in intestine and stomach. Nevertheless, etoposide metabolism principally occurs in the liver, but may also happen in other tissues, like intestinal mucosa, since it is O-demethylated primarily by cytochrome P450 (CYP) 3A4 and to a lesser extent by CYP3A5 [7]. The uptake by the blood means that the etoposide microparticle have a good interaction with blood proteins and may remain linked to it increasing the circulating time. Finally, the uptake by the brain was negligible.

### SPECT imaging

The result (Figure 4) of the SPECT corroborates the findings of the biodistribution, especially the uptake by the tumor and renal clearance due the presence in kidneys and bladder. Is also observed the remaining dose in the top of the image (retro-orbital injection). For this reason, it is not possible to corroborate the negligible uptake by the brain.

### Conclusions

The etoposide-microparticle labeled to  $^{99m}\text{Tc}$  demonstrated to be a micro-radiopharmaceutical that may be used for early

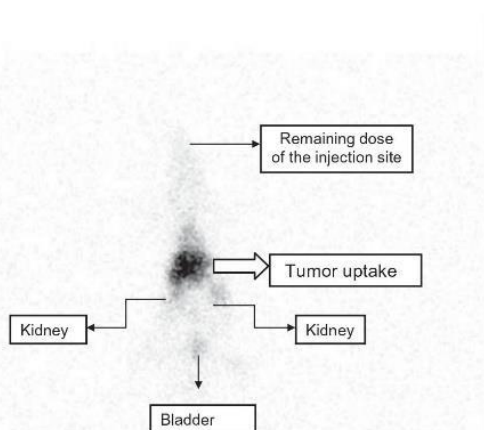


Figure 4. SPECT imaging of Balb/c nude mouse induced with xenograft cancer model in the back.

diagnosing of lung cancer; nevertheless, the use of a polymeric microparticle showed to be stable and capable to reach the tumor in a high concentration. The biodistribution data also demonstrated that the renal clearance is effective and showed negligible uptake by the brain. The results from SPECT imaging corroborates the biodistribution and also showed the possibility of use of this micro-radiopharmaceutical as imaging agent.

### Disclosure statement

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of this article.

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1 **Poly lactic Acid (PLA) and Poly(lactic-co-glycolic acid) (PLGA) Radioactive**  
2 **Nanoparticles used for Biomedical Application**

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30

31 **Summary**

32

33 **1. INTRODUCTION**

34 **2. RADIOACTIVE NANOPARTICLES**

35 **2.1. Polymeric nanostructures use in therapy**

36 *2.1.1 Cardiovascular diseases*

37 *2.1.2. Infectious diseases*

38 *2.1.3. Cancer*

39 **3. NATURAL NANOPOLYMERS**

40 **4. BIOABSORBABLE SYNTHETIC NANOPOLYMERS**

41 **4.1 Poly(lactic-co-glycolic acid) and Polylactic acid**

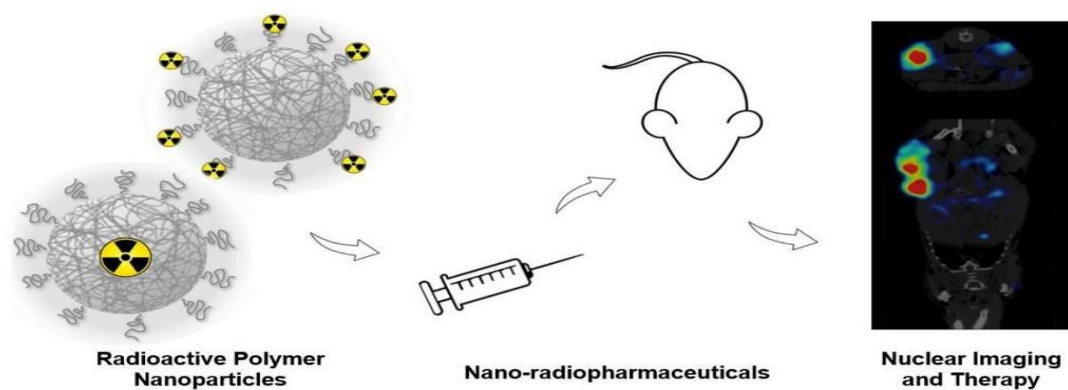
42 **5. REFERENCES**

43

44

45 **GRAPHICAL ABSTRACT**

46



47

48

49 **Abbreviations**

- 50 DDS- drug delivery systems  
51 DNA- deoxy-nucleic acid  
52 PHAs- polyhydroxyalkanoates  
53 PLA- polylactic acid  
54 PLGA- poly(lactic-co-glycolic acid)  
55 PGA-poly (glycolic acid)  
56 PDO-poly poly(dioxanone)  
57 PCL-poly (caprolactone)  
58 FDA-Food and Drug Administration  
59 NPs-nanoparticles  
60 PEG-polyethylene glycol  
61 PLLA- poly-L-lactide  
62 PDT-photodynamic therapy  
63 CNT-carbon nanotubes  
64 NSs-nanosystems  
65 SPECT-single-photon emission computed tomography  
66 PET-positron emission tomography  
67  $\gamma$ - gamma  
68  $\beta$ - beta  
69 SPIOs-Superparamagnetic iron oxide nanoparticles  
70 TEM-transmission electron microscopy  
71 EPR- enhanced permeability and retention effect  
72 CVD-cardiovascular diseases  
73 CAD-coronary artery diseases  
74 HFIP-hexafluoro-2-propanol  
75 MRSA- Methicillin-resistant Staphylococcus aureus  
76 DMA-2,3-dimethylmaleic anhydride  
77 MDA-MB-231-human breast cancer cell  
78 kDa-kilo Dalton

- 79 FITC-fluorescein isothiocyanate
- 80 LA-lactic acid
- 81 GA- and glycolic acid
- 82 PVA-poly(vinyl alcohol)
- 83 DCA- dacarbazine
- 84 RNA-ribonucleic acid
- 85 ANG-angiopep-2
- 86 DOX-doxorubicin
- 87 EGFR- epidermal growth factor receptor
- 88 BBB- blood brain barrier
- 89 U87MG -human primary glioblastoma cell line
- 90 VEGFR- Vascular endothelial growth factor receptor
- 91 PTX-paclitaxel
- 92 7721- human hepatocarcinoma cells
- 93 A549- human lung cancer
- 94 LS174t- colorectal cancer cell
- 95 CEA- carcinoembryonic antigen
- 96 DTX-docetaxel
- 97 MRI-magnetic resonance imaging
- 98

99 **ABSTRACT**

100           The most important components of a living cell are polymers. Because of their  
101 broad range of properties both synthetic and natural polymers play an essential and  
102 ubiquitous role in everyday life. In Drug delivery systems, polymers are essential  
103 components, since they exert direct action in the release of the drugs. Recent years have  
104 witnessed a growth of research and applications in nanoscience. The reason  
105 nanoparticles are attractive for medical purposes is based on their important and unique  
106 features, such as their surface to mass ratio, quantum properties and ability to adsorb

107 and carry other compounds. The aims for nanoparticle entrapment of drugs are either  
108 enhanced delivery to target cells and/or a reduction in the toxicity of the free drug to  
109 non-target organs. Thus, creation of long-lived and target-specific nanoparticles is  
110 needed. Radiopharmaceuticals are molecules linked to radioactive elements, employed  
111 in medicine for therapy and for imaging diagnostic. The aliphatic polyester poly(lactic  
112 acid) (PLA), the copolymer poly(lactic-co-glycolic acid) (PLGA) are by far the most  
113 used bio-absorbable synthetic polymers in the biomedical field. The development of  
114 nanoradiopharmaceuticals provides a new paradigm for both Nuclear Medicine and  
115 emerges as a viable alternative to the treatment and diagnosis of tumors.

116 **Key words:** cancer; nanotechnology; smart device; oncology;  
117 nanoradiopharmaceuticals

## 118 1. INTRODUCTION

119 The most important components of a living cell (proteins, carbohydrates, and  
120 nucleic acids) are all polymers. A polymer is a large molecule composed of many  
121 repeated sub-units[1]. Conjugated polymers are widely propagated for applications  
122 relying on their conductivity, photo- or electroluminescence, or light-induced charge  
123 generation, such as light-emitting devices and displays photovoltaics or chemical  
124 sensors of variable complexity concerning their structure and function [2-8]. Among  
125 others, such devices can be advantageous toward inorganic materials in terms of cost  
126 and flexibility. The most prominent types of conjugated polymers are polyaniline,  
127 polypyrrole, and polyacetylene and derivatives thereof, which have been studied  
128 intensely primarily due to their intrinsic conductivity, while polythiophenes,  
129 polyphenylenes, polyfluorenes, poly-(arylenevinylene), and poly-  
130 (phenyleneethynylene) have also been studied extensively due to their electrooptical and  
131 photoluminescence properties[9].

132           Because of their broad range of properties both synthetic and natural polymers  
133 play an essential and ubiquitous role in everyday life [10]. In nature, polymers are  
134 indispensable for construction and as part of the complex cellular mechanism. Polymers  
135 range from familiar synthetic plastics such as polystyrene to natural biopolymers such  
136 as DNA and proteins that are fundamental to biological structure and function[11].  
137 Polymers, both natural and synthetic, are created via polymerization of many small  
138 molecules, known as monomers. Their consequently large molecular mass relative  
139 to small molecule compounds produces unique physical properties, including toughness,  
140 viscoelasticity, and a tendency to form glasses and semi-crystalline structures rather  
141 than crystals. The merge of polymer science with the pharmaceutical sciences has led to  
142 a spectacular breakthrough on the innovation (flexibility in fitness, shape, size and  
143 surface) in the design and development of new drug delivery systems (DDS) [10].

144           Polymeric biomaterials and their composites can be classified as biostable, fully  
145 biodegradable or partially biodegradable. Biostable polymers are virtually inert, cause  
146 minimal tissue response and maintain their properties for years. Partially absorbable  
147 polymers are hydrolytically unstable, but since they cannot be fully metabolized by the  
148 body and eliminated, they do not dispense the need for the second surgery that removes  
149 the implant after tissue healing. Fully absorbable implants are also hydrolytically  
150 unstable and have biodegradation characteristics such that they are capable of being  
151 totally eliminated by metabolism [12].

152           In relation to the properties of the polymers, two criteria must be followed in the  
153 establishment of a formulation. First, the chemical characteristics of the polymer should  
154 not compromise the action of the active ingredients; Second, the physical properties of  
155 the polymer must be consistent and reproducible [13].

156           Polymers degradation are influenced by the following factors: chemical structure  
157 and composition of the polymer, physical-chemical factors (ionic charge, ionic strength  
158 and pH), physical factors (shape and size), morphology (amorphous, semi-crystalline,  
159 crystalline, microstructure), degradation mechanism (enzymatic, hydrolysis, microbial),  
160 molecular weight distribution and route of administration [10].

161           Conventional medicines are characterized by the immediate release of the drug.  
162 Technologically, they are easy to prepare, since their production is well established,  
163 requiring no sophisticated components and equipment [15]. Polymers are used as  
164 excipients in conventional medicament and cosmetic preparations. Conventional solid  
165 drugs, such as powders, tablets and capsules, contain polymeric excipients having  
166 varying functions. Most often, effective and safe therapeutic responses are achieved by  
167 administering traditional medicines. In typical delivery systems, drug concentration  
168 reaches a peak shortly after administration and then declines. Levels are dose-dependent  
169 and each drug has a therapeutic index above which it is toxic and below which it is  
170 ineffective. Otherwise, the optimal therapy requires an advanced drug delivery system  
171 [16]. In the revolutionary drugs, polymers are essential components, since they exert  
172 direct action in the release of the drugs [17].

173           By definition, Drug Delivery System is an administration system designed to  
174 extend drug release time in the body, sustain its plasma concentration and control  
175 the temporal and spatial location of the molecules in vivo [15;18;19]. Thus, cyclic  
176 fluctuations in the concentration are eliminated and the biological availability of the  
177 drug is increased. Also, reduction of toxicity and decrease in the number of daily doses  
178 can be achieved [20-22]. In addition to presenting modified release of the drug, the  
179 manufacture of DDS often requires the use of specific equipment, processes and  
180 components [21;23]. The development of modified release systems for drug

181 establishment is an interesting strategy for this purpose. Such systems include  
182 drug/polymer conjugates, polymer micelles, liposomes, and nanoparticles [24].

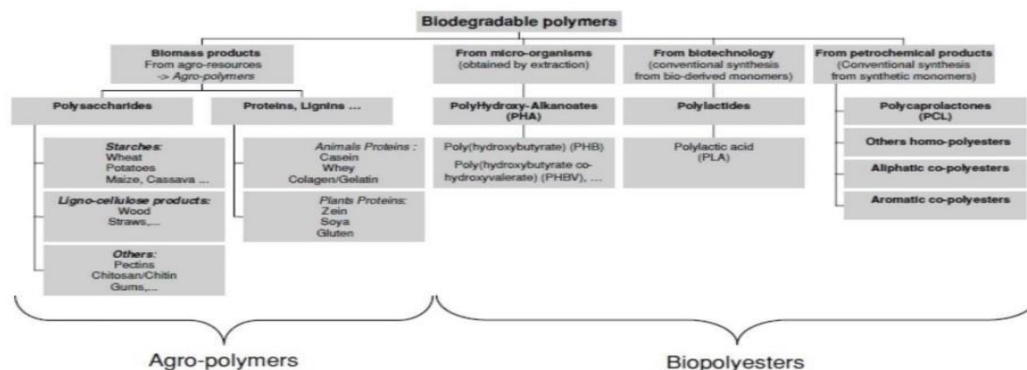
183         The biodegradation of the polymer is a process in which the macromolecule is  
184 converted into simpler products, either by the action of enzymes or microorganisms, or  
185 by hydrolysis of the chain in aqueous media [25]. Thus, biodegradable polymers have  
186 an advantage over non-biodegradable polymers, since they are fully absorbed by the  
187 body, requiring no further removal [26].

188         Biodegradable polymers are of significant interest to a variety of fields including  
189 medicine, agriculture, and packaging. One of the most active areas of research in  
190 biodegradable polymer is in controlled drug delivery and release. Biodegradable  
191 polymers have an innumerable uses in the biomedical field, particularly in the fields  
192 of tissue engineering and drug delivery [27;28]. In order for a biodegradable polymer to  
193 be used as a therapeutic, it must meet several criteria: 1) be non-toxic in order to  
194 eliminate foreign body response; 2) the time it takes for the polymer to degrade is  
195 proportional to the time required for therapy; 3) the products resulting from  
196 biodegradation are not cytotoxic and are readily eliminated from the body; 4) the  
197 material must be easily processed in order to tailor the mechanical properties for the  
198 required task; 5) be easily sterilized; and 6) have acceptable shelf life [27].

199         Different classifications of various biodegradable polymers have been proposed  
200 according to their synthesis process (Figure1): (i) polymers from biomass such as  
201 biopolymers from agro-resources (e.g., starch or cellulose), (ii) polymers obtained by  
202 microbial production such as the polyhydroxyalkanoates (PHAs), (iii) polymers  
203 conventionally and chemically synthesized from monomers obtained from agro-  
204 resources, e.g., the polylactic acid (PLA), and (iv) polymers obtained from fossil  
205 resources. Only the first three categories (i–iii) are obtained from renewable resources.



206 We can further classify these biodegradable polymers into two main categories: the  
 207 agro-polymers (category i) and the biodegradable polyesters or biopolyesters  
 208 (categoriesii–iv) [29].



209  
 210 Figure 1. Classification of the main biodegradable polymers [29].

211

212 Structurally, biodegradable polymers possess bonds (i.e., ester, amide, or ether  
 213 bonds) which are cleavable enzymatically or hydrolytically. A polymer with a C-C  
 214 backbone can resist the degradation. Depending on the structural properties of the  
 215 polymer, a heteroatom-containing polymer presents different degrees of  
 216 biodegradability. Based upon their synthesis methodologies, biodegradable polymers  
 217 can be classified into (i) natural (e.g., fibrin, collagen, cellulose, hyaluronan, pectin), (ii)  
 218 semisynthetic (e.g., chitosan), and (iii) synthetic [e.g., poly(lactic acid)(PLA),  
 219 poly(glycolic acid)(PGA), poly(lactic-co-glycolic acid) (PLGA), poly(dioxanone)  
 220 (PDO), poly(anhydrides) and poly(ortho esters) [24].

221 Poly (hydroxyalkanoates) - hydroxy acid polyesters - are optically active, stereo-  
 222 regular biopolymers produced by biosynthetic route from natural sources. Poly  
 223 (hydroxybutyrate) and poly (3-hydroxybutyrate-co-3-hydroxyvalerate) copolymer have

224 been studied in the preparation of DDS. The use of these polymers may represent a  
225 lower cost when compared to other conventional biodegradable polymers [30].  
226 Aliphatic polyesters are the polymers which undergo biodegradation in biological  
227 medium, being more studied in drug delivery systems. It includes: poly (lactic acid)  
228 PLA, poly (glycolic acid) PGA, poly (caprolactone) (PCL) and copolymers of lactic and  
229 glycolic acids (PLGA) [30-32]. Therapeutic polymers are those to which therapeutic  
230 properties are attributed. Management of structure of synthetic polymers allows them to  
231 bind to specific receptors, present in pathogens or cells, promoting recognition,  
232 triggering the modulation of cell function [34-36].

233           Until 1960, hydrolytically unstable polymers were considered a terrible  
234 discovery. However, with the advancement of research, these materials arouse interest  
235 in the medical and pharmaceutical areas especially due to the possibility of being used  
236 in temporary implants (e.g. sutures, staples and nano-reservoirs). Poly (lactic acid)  
237 (PLA) and Poly (lactic acid-co-glycolic acid) (PLGA) are relatively hydrophobic  
238 polyesters, unstable in humidity, biodegradable and produced from renewable resources  
239 easily. Polymers derived from lactic and glycolic acids have received a lot of attention  
240 in the research of alternative biodegradable polymers, and have already been approved  
241 by the Food and Drug Administration (FDA) for use as DDS.

242           In contrast to the great amount studies of the preparation of conjugated polymers  
243 and their properties in the bulk or in thin films, nanoparticles of conjugated polymers  
244 have been relatively little addressed[9;37-39]. To render the term more precisely, a  
245 nanoparticle is considered to be a sub-micrometer entity which represents a separated  
246 continuous phase, surrounded by a continuous free-flowing medium (usually a low-  
247 molecular-weight liquid, most often water) or placed on a surface. Although the  
248 definition identifies nanoparticles as having dimensions below 0.1  $\mu\text{m}$  or 100 nm,

249 especially in the area of drug delivery relatively large (size >100 nm) nanoparticles may  
250 be needed for loading a sufficient amount of drug onto the particles [40-43].

251         Recent years have witnessed a growth of research and applications in the area of  
252 nanoscience. Anticipated uses in medicine include drug delivery, diagnostics,  
253 nutraceuticals and production of improved biocompatible materials [42;44;45]. The  
254 reason why these nanoparticles (NPs) are attractive for medical purposes is based on  
255 their important and unique features, such as their surface to mass ratio that is much  
256 larger than that of other particles, their quantum properties and their ability to adsorb  
257 and carry other compounds. The composition of the nanoparticles may be of biological  
258 origin like phospholipids, lipids, lactic acid, chitosan, or have more “chemical”  
259 characteristics like various polymers, carbon, silica, and metals. The aims for  
260 nanoparticle entrapment of drugs are either enhanced delivery to target cells and/or a  
261 reduction in the toxicity of the free drug to non-target organs. For these aims, creation  
262 of long-lived and target-specific nanoparticles is needed.

263         Nanoparticles administered by the oral route can follow different routes: direct  
264 transit in the TGI until elimination by the feces, bioadhesion (adhesion to the intestinal  
265 mucosa) and absorption, being the first two routes most important [46]. Thus, rising  
266 bioavailability of drugs inserted in nanoparticles is the result of a longer contact time of  
267 the drug with the intestinal mucosa due to their increased relative surface area.

268         Bioadhesion is a process by which particles are immobilized on the intestinal  
269 surface by an adhesion mechanism. This mechanism extends the time of interaction of a  
270 drug at the site of action and improves the absorption [46]. Gut mucus is composed of  
271 high molar mass glycoproteins that allow adhesion by nonspecific interactions of the  
272 polymer nanoparticles through hydrogen bonds or Van der Waals forces [46]. Adhesion  
273 is optimized when the polymer is dry, but oral administration causes the particles to mix

274 with the endogenous liquids. Bioadhesion may also occur through specific interactions  
275 between intestinal cells and carriers through cell membrane receptors. In this case, it is  
276 possible to use clusters attached in the polymeric walls that allow a specific interaction  
277 with the target receptors. However, these mechanisms are more difficult to happen due  
278 to the limited diffusion of the particles through the mucus [46].

279         One of the complications in the use of particulate drug carriers including  
280 nanomaterials is the entrapment in the mononuclear phagocytic system as present in the  
281 liver and spleen [19; 47-50]. Surface modification with polyethylene glycol (PEG)  
282 extended the presence in the circulation by inhibiting recognition and phagocytosis by  
283 the mononuclear phagocytic system [51-53]. In addition, when gold nanorods were  
284 modified using PEG, it altered the distribution and reduced the in vitro toxicity  
285 [53]. Although nanoformulation is aimed at enhancing drug delivery without loss of  
286 drug activity. In a study comparing insulin-chitosan nanoparticles to chitosan solution  
287 and chitosan powder formulations, the insulin-chitosan nanoparticles were less effective  
288 in terms of bioavailability and lowering blood glucose level in both a rat and sheep  
289 model [54]. NP size influence its distribution and bioavailability as demonstrated for  
290 lipid vesicles for which a lower liver uptake was found for the smaller vesicles (200/300  
291 nm versus 25/50 nm) [54-56]. For liposomes with sizes >100 nm the clearance rate by  
292 the mononuclear phagocytic system increased with increasing size, while for sizes  
293 below 100 nm charge was more important [57].

294         Physical degradation such as heating and light may be used to provoke the  
295 therapeutic effect or for local drug release, respectively. Thermosensitive nanoparticles  
296 may be used for selective release of the content after specific localization. For example,  
297 doxorubicin-enhanced cytotoxicity was observed in vitro at 42 °C compared to 37 °C  
298 using copolymers of polyethylene glycol (PEG) and poly-L-lactide (PLLA) (Na et al

299 2006). In addition, the release of photosensitizers from nanoformulations by light,  
300 (photodynamic therapy-PDT), was able to induce cytotoxicity [58,59].

301           One of the major challenges in drug delivery is to get the drug at the place it is  
302 needed in the body thereby avoiding potential side effects. The entrapment of  
303 chemotherapeutics in nanosized formulations like liposomes has been already subject of  
304 study for considerable time [60-61]. Liposomes nanostructures have the advantage of  
305 being small, flexible and biocompatible thus being able to pass along the smallest  
306 arterioles and endothelial fenestrations without causing clotting. Also, other material as  
307 (co)-polymers and dendrimers at the nanosize range alters the distribution of  
308 encapsulated or attached drugs. The nanoparticle formulation resulted in paclitaxel-  
309 enhanced cytotoxicity for tumor cells *in vitro* and improved therapeutic efficacy in an *in*  
310 *vivo* animal model [63]. Paclitaxel encapsulated in vitamin E TPGS-emulsified poly  
311 (D,L-lactic-co-glycolic acid) (PLGA) nanoparticles resulted in a higher and prolonged  
312 level above the effective concentration *in vivo*.

313           There is a concern of how the body behaves with these nanomaterials. Once in  
314 the body nanoparticles must be monitored because due to the small diameter it can  
315 accumulate in the body and not be eliminated [64]. This is particularly true for the  
316 applications of nanoparticles for drug delivery. In these applications particles are  
317 brought intentionally into the human body and some of these new applications are  
318 envisaged an important improvement of health care. Opinions started to divert when  
319 toxicologists claimed that new methods and protocols are needed [65-68].

320           When nanoparticles are used for their unique reactive characteristics it may be  
321 estimated that these same characteristics also have an impact on the toxicity of such  
322 particles. Carbon derived nanomaterials showed that platelet aggregation was induced  
323 by both single and multi-wall carbon nanotubes, but not by the C<sub>60</sub>-fullerenes that are

324 used as building blocks for these carbon nanotubes(CNT) [69]. Silica nanoparticles of  
325 15 nm and 46 nm showed similar dose dependent cytotoxicity *in vitro* [70]. However,  
326 for cationic silica nanoparticles using amino-hexyl-amino-propyltrimethoxysilane as a  
327 surface modification, no cell toxicity was detected [71]. The amount of data about NPs  
328 toxicity is based on a small panel of NPs (combustion derived NPs and TiO<sub>2</sub>) and the  
329 premise that the effects observed by particulate matter are driven by the ultrafine  
330 particle fraction in it [72].

331 A great number of biodegradable polymers have been synthesized in natural  
332 environment during the growth cycles of organisms. Biodegradable polymers are  
333 considered as ideal biomaterials for the development of controlled sustained-release  
334 DDS as well as therapeutic devices such as degradable implants, impermanent  
335 prostheses, and degradable 3D scaffolds for tissue engineering [73-75].

336 Some aspects such as the toxicity of the solvents used and the efficiency of the  
337 encapsulation of the desired material must be observed when formulating polymeric  
338 nanoparticles. Monomers polymerization allows the "design" of the material to be  
339 obtained, since the synthesis of the polymer is being carried out at the same time as the  
340 encapsulation. In situ polymerization is a technique that can be carried out by different  
341 routes, with emulsion, mini-emulsion and microemulsion polymerization being the most  
342 used [76]. The most common nanoparticle preparation process in the pharmaceutical  
343 field is the solvent evaporation technique [76-78].

344 The choice of the method of preparation should consider the nature of the  
345 polymer and the drug and also the route of administration. The final product should  
346 have the following characteristics: (i) Maintenance of the stability and activity of the  
347 drug; (ii) High encapsulation efficiency, as higher the concentration of encapsulated  
348 drug, the amount of nanoparticles to be used in the pharmaceutical forms will be lower;

349 (iii) Low polydispersity in size; (iv) Reproducible drug release profile, so that the  
350 nanoparticles always show similar dissolution kinetics; (v) micro/nanoparticles must do  
351 not present aggregation or adhesion, since these factors causes the loss of product and  
352 difficulties of adjusting the dose. [79].

353 The biodegradable polymers are also bioactive and hence can be used as  
354 polymer-therapeutics which can also be exploited for targeted delivery of a wide range  
355 of small and large molecules in a controlled, sustained or pulsatile manner.  
356 Biodegradable polymeric nanoparticles (NPs) and nanosystems (NSs) are supposed to  
357 be very efficient drug delivery systems (DDSs) that are extremely safer than any other  
358 non-biodegradable polymers and lipids used for gene/drug delivery [80-82].

359 Nanoparticles administered intravenously concentrate mainly in the liver, spleen  
360 and bone marrow, by the mononuclear phagocytosis. These organs function as  
361 reservoirs, causing the nanoparticles to rapidly disappear from the circulation. Thus, one  
362 should be concerned with the accumulation, elimination and degradation of these  
363 systems [83].

364

## 365 2. RADIOACTIVE NANOPARTICLES

366 Nuclear imaging modalities include single-photon emission computed  
367 tomography (SPECT) and positron emission tomography (PET) as tools to visualize *in*  
368 *vivo* abnormalities. Nuclear imaging is noninvasive and provides high sensitivity for  
369 detection of biological processes, especially in diagnosing and staging disease states.  
370 Several radiopharmaceuticals have been approved by the Food and Drug Administration  
371 (FDA) for use in humans. However, selective delivery of a radioisotope to visualize a  
372 particular region of interest remains a challenge. In this context, nanoparticles (NPs)  
373 have emerged as promising vehicles to transport radioisotopes to desired sites within the

374 body [84, 85]. Several FDA-approved contrast agents and molecular imaging probes and  
375 contrast agents are listed in the Molecular Imaging and Contrast Agent Database  
376 (MICAD) [85].

377 Radiopharmaceuticals are molecules containing at least one radioactive element  
378 that emits useful radiation, employed for therapy and for diagnostic imaging in Nuclear  
379 Medicine. Radiopharmaceuticals are typically constituted of two components: carrier  
380 (particles) and radionuclide, and it is directly related to the radioisotope used [86].  
381 However there are also “pure” radiopharmaceuticals, as Na[18]F, [223]RaCl<sub>2</sub> Na[131]I  
382 where no carriers are needed

383 Radionuclide characteristics are responsible for the application and indication of  
384 the radiopharmaceutical. For diagnostic applications in Nuclear Medicine,  
385 radiopharmaceuticals based on nuclides providing an emission of gamma radiations ( $\gamma$ ,  
386  $E \approx 140$  keV) or a positron ( $\beta^+$ ,  $E \approx 511$  keV) should be employed [87]. Thus, after  
387 administration, the radiopharmaceutical penetrates the tissues and its radiation is  
388 measured by means of single photon detection (SPECT) or a detection of  $\beta^+$ /electron  
389 annihilation, which generates two coincidence  $\gamma$  radiations (PET). This procedure  
390 allows evaluating the biodistribution of the radiopolymer and locating the foci where it  
391 was most captured [88]. The ideal radiopharmaceutical reaches the tissue target avoiding  
392 that the radiation spreads to other tissue around. In addition, a radiopolymer should  
393 remain in the body for a period short enough to avoid prolonged exposure of the patient  
394 to radiation, but long enough to allow the acquisition and processing of images, as well  
395 as to develop its therapeutic action [89].

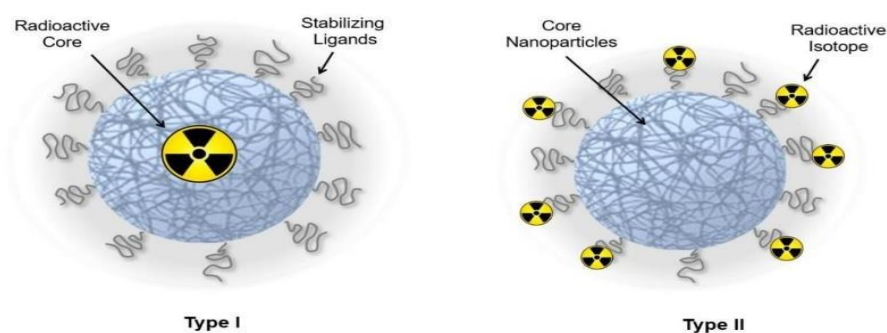
396 Radioactive NPs for imaging can be designed by two possible methods (Figure  
397 2). The first method involves incorporation of a radioactive element into a nanosized  
398 cluster. Despite the advantageous of this method, many radioactive elements tend to get



399 oxidized at the nano level and subsequently elude the properties associated with  
400 nanoscale imaging. Noble metals such as gold can be bombarded with neutrons in a  
401 nuclear reactor to generate radioactive core NPs. The second method involves attaching  
402 a radioactive element to a NP (also called radiolabeling of a particle). This method is  
403 versatile and can incorporate various radioelements of choice into a ligand on the NP  
404 surface. In this method multi-carboxylate ligands are grafted on the surface of the NP.  
405 Subsequently, these chelators are used to carry metallic radionuclides [85]. However,  
406 the dissociation of the radionuclide under *in vivo* conditions could result in a false  
407 imaging [85].

408

409



410

411 **FIGURE 2:** Schematic of radioactive polymer nanoparticles. In the Type I  
412 configuration, the radioactive elements incorporated into a nano-sized cluster, whereas  
413 in the Type II configuration, the radioactive element is decorated onto the nanoparticle  
414 surface.

415

416 Iodine 131 ( $^{131}\text{I}$ ) is a commonly used radioisotope; The  $\gamma$  (gamma) emission of  
417  $^{131}\text{I}$  can be used for imaging and  $\beta$  (beta) for therapy, but the release of  $^{131}\text{I}$  and  $^{131}\text{I}$ -  
418 tyrosine in the blood poses a potential health hazard [85].

419 As reviewed by Psimadas et al. [91],  $^{111}\text{In}$ -labeled NPs have been widely used to  
420 understand the biodistribution of NPs. For this purpose, polymeric and micellar NPs  
421 have been labeled with  $^{111}\text{In}$ . Also, NPs of carbon, gold, or iron oxide were labeled with  
422  $^{111}\text{In}$  for understanding the biodistribution or evaluating disease states.

423

424 The  $^{64}\text{Cu}$  is one of the most studied isotopes of copper, mainly due to its  
425 potential in imaging and therapy applications. Its decay occurs by three processes:  
426 positron, electron capture, and beta decays. Superparamagnetic iron oxide nanoparticles  
427 (SPIONs) have been functionalized with DOTA (1,4,7,10-tetraazacyclododecane-  
428 1,4,7,10-tetraacetic acid) and radiolabeled with  $^{64}\text{Cu}$  [7]. In this study, micelle-coated  
429 SPIONs were synthesized by treating 6.2nm sized SPIONs with polyethylene glycol  
430 (PEG) derivatives, amino-PEG2000 and mPEG5000 phospholipids. The amino groups  
431 on the lipids were utilized to attach DOTA ligands to the surface. After coating with  
432 phospholipids, the hydrodynamic size of SPIONs increased from 7 to 20 nm. DOTA  
433 ligands on the surface of SPIONs were subsequently used to radiolabel with  $^{64}\text{Cu}$ . The  
434 resultant conjugate showed excellent stability for 24 hours in mouse serum. Finally,  
435  $^{64}\text{Cu}$  labeling of the NP was achieved by mixing  $^{64}\text{Cu}$  in acetate buffer with DOTA-  
436 functionalized polymeric nanoconjugates. Nonspecifically bound  $^{64}\text{Cu}$  to amide and  
437 ester groups was removed by treating the radiolabeled NPs with DTPA with subsequent  
438 removal of DTPA- $^{64}\text{Cu}$  complexes by Centricon separation [92,93]

439

440  $^{18}\text{F}$ -labeled radiopharmaceuticals was synthesized by paramagnetic iron oxide  
441 NPs using 'click' chemistry, a condensation of azide and alkyne groups catalyzed by  
442 Cu(I). In this study, aminated-cross-linked dextran iron oxide NPs of an average size of  
443 30 nm, containing 40 primary amines per particle, were used. As a first step, five

444 primary amine groups in each NP were used to functionalize with a fluorescent dye,  
445 Vivotag-680. The remaining primary amino groups present on the surface of the iron  
446 oxide NPs were derived to an azide functional group. Subsequently, azide groups on the  
447 NPs were chemoselectively reacted with propargyl<sup>18</sup>F-PEG3 in the presence of catalytic  
448 amounts of copper salts to obtain <sup>18</sup>F-labeled iron oxide NPs in 99% radiochemical  
449 purity within 120 min. In the absence of copper salts, however, <sup>18</sup>F labeling could not be  
450 achieved [94;95].

451 Technetium-99m is the most widely used SPECT radionuclide because it  
452 possesses optimal imaging characteristics, including a short half-life of 6.0 h and a  $\gamma$   
453 emission of 140 keV for SPECT imaging applications. NPs have been labeled with  
454 <sup>99m</sup>Tc to expand understanding of their biodistribution characteristics. Radiolabeling  
455 with <sup>99m</sup>Tc has usually been accomplished using two different methods. In the case of  
456 iron oxide NPs, direct labeling with <sup>99m</sup>Tc was performed by Madru et al. and Fu et al  
457 [96;97]. However, other metallic or polymeric NPs have been modified by both the  
458 direct labeling approach and hydrazine nicotinic acid (HYNIC)-type ligand systems for  
459 labeling with <sup>99m</sup>Tc. In the case of direct labeling, superparamagnetic iron oxide  
460 nanoparticles (SPIONs) were treated with <sup>99m</sup>TcO<sub>4</sub><sup>-</sup> in the presence of stannous chloride  
461 as the reducing agent [96]. Using this method, 99% radiolabeling was  
462 achieved. Technetium-99m-SPIONs showed homogenous size distribution with a mean  
463 diameter of 13 nm, as measured by transmission electron microscopy (TEM) studies.  
464 The zeta potential of the conjugate was between 5 and 15 mV at pH 4–6. In another  
465 study, CoFe<sub>2</sub>O<sub>4</sub> NPs were directly labeled with <sup>99m</sup>Tc using SnCl<sub>2</sub> [98]. In this method,  
466 the conjugates were stabilized using ethyl 12-(hydroxyamino)-12-oxododeconate, poly-  
467 (lactic-co-glycolic acid) (PLGA) and bovine serum albumin. The average hydrodynamic  
468 diameter of NPs increased from 106 to 160.8 nm after labeling with <sup>99m</sup>Tc. The zeta

469 potential remained constant(−23.6 to −23.8mV) after labeling. This study demonstrated  
470 that direct labeling of SPIONs with  $\text{Na}^{99\text{m}}\text{TcO}_4$  using a  $\text{SnCl}_2$  reducing agent provides  
471 high yields. This technique is easy to translate into clinical settings.

472           Technetium99 metastable radionuclide ( $^{99\text{m}}\text{Tc}$ ) stands out because it is used in  
473 90% of diagnostic procedures in Nuclear Medicine [99]. Nanorapharmaceuticals based  
474 on Technetium-99m and, more recently, to Rhenium-186 have become important tools  
475 for the diagnosis and therapy of various diseases or dysfunctions of organs and systems  
476 that make up the human body [100-102]. Development of nanoradiopharmaceuticals  
477 provides a new paradigm for both Nuclear Medicine and Radioprotection and  
478 Dosimetry and emerges as a viable alternative to the treatment and diagnosis of tumors  
479 [103;104].

480           Others radiopolymers - all labelled with beta emitters – are currently used in the  
481 treatment of bone pain caused by Bone Metastasis [105].

482

#### 483           **a. Polymeric nanostructures use in therapy**

484

485           Polymeric nanostructures have the potential to improve the medical outcomes of  
486 various therapeutics and diagnostics by enhancing the accumulation of the embedded  
487 active species into the target sites of diseased tissues via passive and/or active targeting.  
488 They can also be utilized for combinational therapy/diagnosis. In passive targeting,  
489 nanoparticles accumulate into pathological sites with leaky vasculature (e.g. tumor and  
490 inflammation sites) due to the EPR (enhanced permeability and retention) effect,  
491 whereas active targeting is achieved through decorating the surface of nanoparticles  
492 with targeting ligands that bind to receptors overexpressed on the diseased tissues.  
493 Active targeting features can also be incorporated into the nanostructures via including

494 stimuli-responsive components into the nanomaterials. Ideally, both targeting  
495 mechanisms aim to concentrate the nanomaterials, while containing the embedded drugs  
496 and/or diagnostic probes, at the diseased tissues and avoiding accumulation or drug-  
497 release at healthy tissues.

498 Biodegradable scaffolds composed of PLA and  $\beta$ -tricalcium phosphate is  
499 developed for complex maxillofacial reconstruction. Biocompatibility tests with  
500 mesenchymal stem cells indicated better proliferation, without toxicity. The porous  
501 interconnected structures make possible cellular adhesion and vascular proliferation.  
502 The in vivo investigation in rats led to complete bone ingrowth within 30 days with  
503 minimal inflammatory impacts [106]. A study reported the development of NPs targeted  
504 to regions of vascular angiogenesis in the course of reperfusion and re-oxygenation of  
505 ischemic tissue [107].

506 Recent advances in the field of nanotechnology can be applied to pharmacology  
507 to solve the above problems and have proved to be excellent tools to treat different  
508 diseases [108].

509

### 510 ***2.1.1 Cardiovascular diseases***

511 Cardiovascular diseases are the number one death-causing disease and according  
512 to the World Health Organization, in 2012, 3 in every 10 people worldwide died from  
513 cardiovascular diseases (CVD).<sup>44</sup> In the United States, in 2010, more than 300 billion  
514 dollars were spent on medical expenses due to CVD.<sup>45</sup> Diseases related to the  
515 cardiovascular system include atherosclerosis, hypertension, and coronary artery  
516 diseases (CAD), which could result in heart attack or stroke.

517 Polymeric nanoparticles with specific biomarkers have been highly investigated  
518 in medical imaging for diagnosis and treatment of cardiovascular diseases, such as,

519 atherosclerosis, hypertension, and coronary artery diseases [109-113]. In their previous  
520 studies, Col IV-targeting peptide conjugated-polymeric nanoparticles encapsulated with  
521 paclitaxel reduced the thickness of neointima to 50%, compared to a carotid injury  
522 model control group [114;115].

523         The first generation of DES (drug-eluting stents) effectively reduced restenosis,  
524 but profoundly delayed healing. Oh and Lee reported the preparation of nanofibers as a  
525 drug ( $\beta$ -estradiol) eluting coating on a stent. They used Eudragit S-100 (ES) as a  
526 nanoparticle (NP) base, and the mixtures of hexafluoro-2-propanol (HFIP), PLGA and  
527 PLA as a nanofiber base at tunable ratios [116].

528         Col IV-targeting peptide conjugated-polymeric nanoparticles encapsulated with  
529 paclitaxel reduced the thickness of neointima to 50%. Results showed that the peptide  
530 treatment using polymeric nanostructures and specific targeting have potential for  
531 treatment of inflammation-involved diseases like atherosclerosis[114;115;117].

532

### 533         ***2.1.2. Infectious diseases***

534

535         Infectious diseases are usually caused by bacteria, viruses, parasites or fungi.  
536 They usually spread from one to another, and, sometimes, could become serious,  
537 difficult to treat, and life-threatening. Since the expansion of the global transport  
538 network, infectious diseases have become more broadly transmissible, increasing the  
539 urgency to develop efficient treatment methods [118].

540         Although there are several therapeutics and diagnostics that have been tested  
541 with varying degrees of success for management of infectious diseases, the poor  
542 bioavailability and serious side effects of some of these agents compromise the expected  
543 therapeutic outcomes [119-121]. Nanoparticles have been utilized to improve delivery

544 efficiency of antimicrobials to the site of infection, together with the use of auxiliary  
545 devices for localized delivery[122-124]. Nanoparticles could improve the stability and  
546 pharmacokinetics of the encapsulated antimicrobials, allow prolonged retention and  
547 sustained release of the drugs at the sites of infections, and overcome the drug resistance  
548 of the bacteria [126-130].Cationic cobaltocenium polymers were prepared to treat  
549 MRSA (Methicillin-resistant Staphylococcus aureus) infection [131]. Ionic  
550 complexation between cationic metallopolymer and carboxylate in  $\beta$ -lactam antibiotics  
551 inhibited  $\beta$ -lactamase activity to protect  $\beta$ -lactam antibiotics from hydrolysis, which  
552 improved antimicrobial efficacy. Fully degradable polymeric nanoparticles were then  
553 developed and their antimicrobial activities were evaluated in vitro against  
554 Staphylococcus aureus and Escherichia coli [132].

555

### 556 *2.1.3. Cancer*

557 Tumor is a disease characterized by uncontrolled growth of cells, where this  
558 group of cells might have the ability to spread in the body through the blood and lymph  
559 systems. The main characteristics of cancer are sustaining proliferative signaling,  
560 evading growth suppressors, avoiding immune destruction, enabling replicative  
561 immortality, promoting inflammation, invading and metastasizing, inducing  
562 angiogenesis, accruing genome instability and mutation, resisting cell death, and  
563 deregulating cellular energetic, which are shared by more than 100 cancer-related  
564 diseases [133]. These diseases can be categorized by the type of cell or tissue in which  
565 cancer originates. Cancer usually acquires these characteristics due to genetic and  
566 epigenetic alterations, which consequently result in molecular variations, such as,  
567 overexpression of receptors and proteins, changes in upstream and downstream  
568 effectors, and tumor progression [134-137].Cancer treatment include surgery,

569 chemotherapy, radiation therapy, hormonal therapy, immunotherapy, hyperthermia,  
570 stem cell transplantation, photodynamic therapy and laser surgery. Besides, more than  
571 one strategy can be combined together, depending on the type and stage of cancer. To  
572 date, complete removal of cancerous tissues remains a challenge [138].

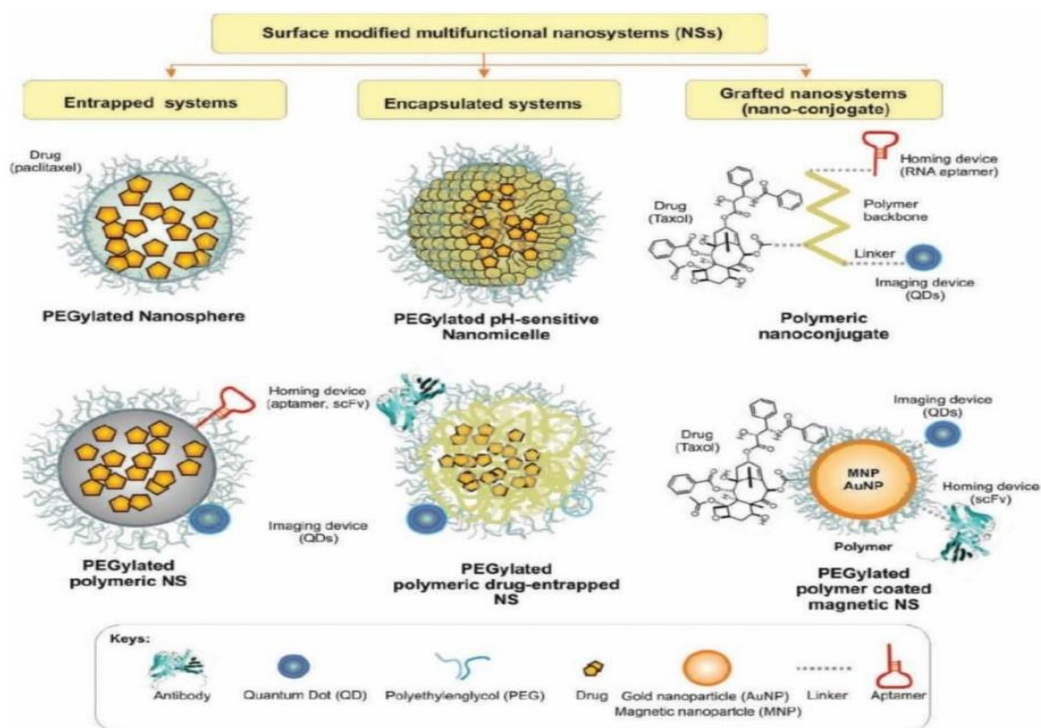
573         The two main goals for the treatment of cancer with various therapeutic agents  
574 are the selective delivery of drugs to the target sites and interfering with molecular  
575 events involved in the cancer progression without affecting normal healthy cells. The  
576 <sup>64</sup>Cu-labeled RGD-targeted carbon nanotubes and non-targeted gold nano shells were  
577 each evaluated in a mouse model of brain cancer, showing efficient tumor uptake at 6–  
578 24 h [139-140]. Although nanoparticle-based formulations for treatment of cancer have  
579 been showed greater efficacy *in vitro* and *in vivo*, conversion of those promising pre-  
580 clinical results to successful clinical trials has been challenging. Only few  
581 nanoparticulate systems have been approved for clinical usage [*e.g.* Abraxane<sup>®</sup>,  
582 DaunoXome<sup>®</sup>, DepoCyt<sup>®</sup>, Doxil<sup>®</sup>/Caelyx<sup>®</sup>/Myocet<sup>®</sup>] and few additional candidates are  
583 undergoing clinical trials [*e.g.* BIND-014 (Phase 2), NC-6004 (Phase 1/2), NK012  
584 (Phase 2), NK105 (Phase 3), CRLX101 (Phase 2), PK1 (Phase 2)] [138].

585         One of major challenges in nanoparticle-based drug delivery in cancer therapy is  
586 the incompatibility between prolonged blood circulation for EPR (enhanced permeation  
587 and retention) effects, which requires neutral or slightly negative surface charges, and  
588 efficient tumor cell uptake, in which positive surface charges are preferred. Two  
589 strategies, switch of surface charge and removal of stabilization layer, have been applied  
590 to address this issue [138]. Polymeric nanostructures, capable to adjust their surface  
591 charge to the surrounding pH conditions, were prepared by self-assembly of block  
592 copolymers comprised of a hydrophilic zwitterionic polyphosphoester block and a  
593 hydrophobic PCL segment [PCL-*b*-P(AEP-*g*-TMA/DMA)]. The zwitterionic surface



594 enabled elongated circulation of the nanocarriers in blood. Once they reached tumor  
595 sites, however, cleavage of amide bonds between 2,3-dimethylmaleic anhydride (DMA  
596 or DMMA) and primary amine under acidic tumor extracellular environment, decreased  
597 the anionic character in the zwitterionic segments and resulted in positively-charged  
598 nanoparticles that could be internalized more easily into tumor cells [141]. In another  
599 study, Doxorubicin-loaded into these nanoparticles inhibited growth of human breast  
600 cancer cell MDA-MB-231 xenografts in nude mice[142].

601 Bioabsorbable polymers in DDS can be defined as polymers that undergo  
602 transformations in the biological environment by, for example, phagocytosis, through  
603 cellular activity [80]. Advanced biodegradable NSs (Nanosystems) have the ability to  
604 penetrate into tumor and target the cancer cells only with no or little effects on the  
605 healthy cells [143-146].Figure3 represents schematic structures of advanced DDSs and  
606 multifunctional NSs used for targeted therapy of cancer.



607 **Figure 3.** Schematic illustration of advanced multifunctional drug delivery systems  
 608 [80].

609

610 Furthermore, the biodegradable polymeric carriers have been modified by tumor  
 611 targeting agents to enhance the NPs translocation into tumor cells. PEG-PCL-PEG  
 612 thermo-sensitive hydrogel containing a tumor-targeted biodegradable folate-poly(ester  
 613 amine)/DNA complexes has been synthesized and investigated for targeted gene  
 614 delivery. The hydrogel composite indicates slight cytotoxicity with high transfection  
 615 efficiency *in vitro* [80].

616

617

618           **3. NATURAL NANOPOLYMERS**

619           Natural polymers can be divided into two main classes: proteins and  
620 polysaccharides. Albumin and gelatin are the proteins with more relevance for the  
621 preparation of DDS for cancer therapy [24]. Gelatin is a protein obtained by the thermal  
622 denaturation of animal collagen, in which the triple helix of collagen is broken giving  
623 water-soluble strains. Gelatin is a poly-ampholyte whose structure comprises cationic  
624 and anionic groups, along with the hydrophobic groups. Because of its biodegradability  
625 and biocompatibility, gelatin has been used in the development of DDS for different  
626 biomedical applications, namely cancer therapy [147]. Albumin is the most abundant  
627 protein in the human blood plasma, accounting for 50 % of its total mass. It presents a  
628 molecular weight of about 66.5 kDa and a diameter of 7.2 nm. Albumin is a  
629 hydrosoluble protein, is stable in a pH range of 4 to 9, and can be heated at 60 °C for up  
630 to 10 h without deleterious effects. Human serum albumin (HSA) has a multitude of  
631 functions in the human body. To mention: solubilization of long chain fatty acids,  
632 binder for bilirubin (resulting from heme breakdown), transport of metal ions  
633 (copper(II), nickel(II), calcium(II), and zinc (II)) in the bloodstream, and major  
634 responsibility for colloid osmotic pressure of the blood, and upon hydrolytic  
635 breakdown [32;148]. In the development of DDS for cancer therapy, the use of albumin  
636 brings some advantages since this protein is used by the proliferating tumor cells for  
637 their nutrition [147].

638           Albumin based NPs have been prepared and tested as DDS in different types of  
639 cancer. Albumin nanoparticles decorated with RGD were used as DDS in the treatment  
640 of pancreas cancer. RGD was bound to the nanoparticles' surface with the aim of  
641 targeting integrin  $\alpha\beta_3$ , which is expressed in pancreatic tumor cells. The nanoparticles  
642 were prepared by the desolvation-crosslinking method conjugated with RGD and loaded

643 with fluorescein isothiocyanate (FITC). Gemcitabine was used as the anti-cancer  
644 drug. The *in vitro* tests, conducted on BxPC-3 cells, showed that the presence of RGD at  
645 the surface of the nanoparticles led to a higher intracellular uptake when compared with  
646 the nanoparticles without the tripeptide [149]. The nanoparticles, loaded with  
647 gemcitabine, have shown improved anti-tumor efficacy, both *in vitro* and *in vivo*.

648 The  $\alpha$ -amino acids resulting from the lysosomal digestion of albumin are used  
649 by the cancer cells as a source of nitrogen and energy. There is already an injectable  
650 formulation of PTX (paclitaxel) albumin nano particles, known as Abraxane®, that has  
651 been used in the treatment of breast cancer [24;150].

652

#### 653 **4. BIOABSORBABLE SYNTHETIC NANOPOLYMERS**

654

655 The aliphatic polyester poly(lactic acid) (PLA), the copolymer poly(lactic-co-  
656 glycolic acid) (PLGA), and poly( $\epsilon$ -caprolactone) (PCL) (Fig. 1) are by far the most used  
657 bioabsorbable synthetic polymers in the biomedical field [24;151].

658 As mentioned before, several radiopharmaceuticals have been used for both  
659 diagnosis and therapy. In order to improve the performance of radiopolymers, in  
660 particular their penetrability and specific targeting, nanotechnology has been developing  
661 micro and nano particles with the objective of improving the results of radiotherapy and  
662 the quality of diagnosis [152].

663 The strategies of targeting systems can be divided in: 1) passive signaling, which  
664 acts in line with the EPR effect and 2) active signaling, which use directional vectors or  
665 ligands. Passive targeting is achieved by incorporating the therapeutic agent into  
666 nanoparticle, which reaches the target organ via passive form. Drugs encapsulated in  
667 nanoparticles can passively reach the tumors through the EPR effect [153-155].

668           The methods of preparing polymer nanoparticles are generally classified in  
669 methods based on the in situ polymerization of dispersed monomers (alkyl  
670 cyanocrylate) or precipitation of preformed polymers (nanoprecipitation) [156]. The  
671 double emulsion method is better appropriate for the nanoencapsulation of hydrophilic  
672 drugs. The method consists in the preparation of a water/oil (w/o) primary emulsion by  
673 sonication of a small volume of water containing the drug and an organic solvent  
674 containing the polymer. This emulsion consists of the inner phase of the second  
675 emulsion, also prepared by sonication, the outer phase of which is an aqueous solution  
676 of the surfactant. The preparation of the nanoparticle formulations by this method  
677 requires the presence of an emulsifying agent to stabilize the dispersed phase in a  
678 multiple emulsion. After the establishment of a water/oil/water (a/o/a), the solvent is  
679 removed by evaporation [157;158]. This method requires a high energy homogenization  
680 and the particle size can be controlled by the speed and time of agitation or sonication  
681 [157].

682           The development of nanoradiopharmaceuticals, provides a new paradigm for  
683 both Nuclear Medicine and Radioprotection and Dosimetry emerging as a viable  
684 alternative to the treatment and diagnosis of tumors [159;160]. There exist various  
685 synthetic biodegradable polymers such as (poly(hydroxybutyrate), poly anhydride  
686 copolymers, poly(orthoester)s, polyphosphazenes, poly(amidoester)s, poly(cyano  
687 acrylate)s and PLGA [80].

688

689           **a. Poly(lactic-co-glycolic acid) and Polylactic acid**

690

691           PLGA is obtained through the copolymerization of LA(or lactide) and glycolic  
692 acid (GA) (or glycolide), and the properties of the copolyester can be easily tailored by

693 changing the relative amounts of monomers in the final copolymer. The products of  
694 degradation are existing metabolites lactic acid and glycolic acid (LA and GA,  
695 respectively) of the human body [24;161].

696 Due to their biodegradability, biocompatibility, and possibility for development  
697 of sustained-/controlled-/pulsatile-release and targeted delivery, PLGA is a widely used  
698 polymer. It has been approved by the United State Food and Drug Administration  
699 (FDA) for various therapeutic/diagnostic applications [162] It should be noted that  
700 PLGA undergoes hydrolytic degradation in aqueous environment where ester linkages  
701 along with the polymer backbone are randomly hydrolyzed. The ratio LA to GA plays  
702 an important role in degradation mechanism of the PLGA. For instance, PLGA 50:50  
703 degrades at a faster rate in comparison with PLGA 85:15 due to the higher hydrophilic  
704 GA content of the copolymer [163; 164].

705 Technically, PLGA NPs can be formulated by emulsification–diffusion, solvent  
706 emulsion–evaporation, interfacial deposition, or nanoprecipitation methods However,  
707 the scale-up process of PLGA NPs’ formulation by means of these methods appears to  
708 be costly [165]. Cisplatin and cisplatin prodrugs are broad-spectrum chemotherapeutics  
709 that have been loaded into polymeric nanoparticles, in attempts at avoiding systemic  
710 delivery of these relatively-hydrophilic small molecule drug complexes. Mitaplatin-  
711 loaded PEG-*b*-PLGA nanoparticles, stabilized with poly(vinyl alcohol) (PVA), using a  
712 double emulsion method encapsulation of mitaplatin is equivalent to the dual loading of  
713 cisplatin and DTIC (dacarbazine) for combinational therapy [167]. The resulting  
714 nanoparticles showed prolonged circulation times, reduced accumulation of Pt in the  
715 kidneys, and long-term efficacy by controlled drug release *in vivo*[167;168].

716 PLGA is the most used bioabsorbable synthetic polymers employed to prepare  
717 nanoparticles for cancer treatment. In 2012, Danhier et al.[172] devoted part of their

718 review article entitled “PLGA-based nanoparticles: An overview of biomedical  
719 applications” to the use of PLGA nanoparticles in cancer treatment. In the last years,  
720 PLGA-based DDSs have been developed and tested as carriers of different drugs to treat  
721 different types of cancer [172-166].

722 PLGA nanoparticles prepared for the delivery of small interfering ribonucleic  
723 acid (siRNA) in tumors has already been reported [170]. The *in vivo* results showed that  
724 the PLGA-based DDS was effective in controlling the growth of tumor and in  
725 prolonging the knockdown of the PLK1 gene, which is essential for mitosis. More  
726 recently, Wang et al. [171] co-encapsulated doxorubicin (DOX) and epidermal growth  
727 factor receptor (EGFR) siRNA in PLGA nanoparticles in which the angiopep-2 (ANG)  
728 was conjugated. ANG is a brain-targeted peptide, and its use could bring advantages in  
729 overpassing the blood brain barrier (BBB). The DDS was tested *in vitro* making use of a  
730 U87MG cell line (human primary glioblastoma cell line). Co-encapsulated  
731 nanoparticles were able to efficiently release the DOX and siRNA, contributing to an  
732 inhibition of the cell growth, apoptosis, and EGFR silencing. The *in vivo* tests showed  
733 that DDS was capable of crossing the BBB and the therapy using both EGFR siRNA  
734 and DOX contributed to extend the survival time of the U87MG glioma-bearing mice.  
735 Vascular endothelial growth factor receptor (VEGFR) conjugated to the surface of  
736 PLGA nanoparticles encapsulating PTX. The PLGA-nanoparticles were prepared by the  
737 emulsion-solvent evaporation method, and then, the VEGFR was conjugated to the  
738 nanoparticles' surface. The results showed that 16.6 wt.% of VEGFR was conjugated to  
739 the nanoparticles surface. The anti-tumor activity of PTX encapsulated in the VEGFR-  
740 PLGA nanoparticles was studied *in vitro* making use of 7721 human hepatocarcinoma  
741 cells and A549 human lung cancer cells. The results showed that the PTX-loaded

742 VEGFR-PLGA nanoparticles have a high inhibitory activity of tumor growth when  
743 compared with native PTX or with PTX-loaded PLGA-nanoparticles [172].

744 The cytotoxic effect of encapsulated gold nanoparticles in PLGA nanoparticles  
745 coated with a lipid PEG monolayer was tested in 2D monolayers of breast cancer cells  
746 (SUM-159) and 3D tumor spheroids of glioblastoma multiform cells (U87MG). The  
747 overall results suggest that the encapsulation of the AuNPs in the coated PLGA  
748 nanoparticles improved the photothermal ablation [62].

749 The development of biocompatible drug nanocarriers can enhance the  
750 physiological stability of PTX [14–16]. Moreover,  $^{131}\text{I}$ , was used to radiolabel the  
751 PLGA-lipid nanoparticles to clearly assess their *in vivo* behavior. The results  
752 demonstrate that the  $^{131}\text{I}$ -labeled PLGA-lipid nanoparticle can be simultaneously  
753 applied for targeted drug delivery and reliable tracking of drugs *in vivo* [173]. Oncogel<sup>TM</sup>  
754 (ReGel<sup>TM</sup>/paclitaxel) is a paclitaxel delivery system comprised of PLGA-PEG-PLGA  
755 triblock copolymer (ReGel) and the drug. It's a thermo sensitive controlled drug delivery  
756 system. Oncogel can be successfully used for the site specific targeting of the tumor;  
757 and also it shows synergistic effects in combination therapy [174]. Encouraging results  
758 in the treatment of skin SCC have been reported in A431 cells (derived from human  
759 epidermoid SCC), by using PLGA coated 5-aminolevulinic acid [175].

760 Dacarbazine (DTIC)- loaded PLGA conjugated to TRAIL-receptor-2 (DR5)  
761 monoclonal antibody (DTIC-NPs-DR5 mAb) provides an active targeting DDS [176].  
762 Also, cucurbitacin (Cuc)-loaded PLGA-nanoparticles can act as sustained-release  
763 system for intra tumor injection [177]. A novel poly(D,L-lactide-co-glycolide) (PLGA)  
764 lipid nanoparticles (PNPs) conjugate to folic acid (FA) and indocyanine green (ICG),  
765 loaded with resveratrol for targeted delivery of anticancer drug and fluorescence



766 imaging. Intravenous injection of these PNP into U87 tumor-bearing mice demonstrated  
767 a tumor inhibition effect [162].

768         PLA was discovered in 1932 by Carothers (at DuPont). He was only able to  
769 produce a low molecular weight PLA by heating lactic acid under vacuum while  
770 removing the condensed water. The problem at that time was to increase the molecular  
771 weight of the products; and, finally, by ring-opening polymerization of the lactide, high-  
772 molecular weight PLA was synthesized. PLA was first used in combination with  
773 polyglycolic acid (PGA) as suture material and sold under the name Vicryl in the U.S.A.  
774 in 1974 [178 Mehta 1996]. PLA can be obtained either from the poly-condensation of  
775 lactic acid (LA) or by the ring opening polymerization (ROP) of lactide. This polyester  
776 can exist in four different morphological forms: poly(L-lactic acid) (PLLA), poly(D-  
777 lactic acid) (PDLA), the racemic poly(D,L-lactic acid) (PDLLA), and meso-PLA. PLLA  
778 and PDLA are semi-crystalline, and PDLLA is amorphous in nature. Typically, these  
779 polyesters degrade by the hydrolysis of the ester linkage, giving LA as the degradation  
780 product [24]. In biomedical applications, PDLLA presents a faster degradation time than  
781 PLLA, being more suitable for drug delivery applications [151].

782         Indocyanine green, a near-infrared fluorophore, was encapsulated into  
783 proteinoid-poly(lactic acid) nanoparticles, which were used to image colon cancer  
784 tumors in a LS174t colorectal cancer orthotopic mouse model. Based on the  
785 overexpression of CEA by LS174t cells, the nanoparticles localized to tumor tissue and  
786 generated fluorescent signal at the tumor sites. In comparison, control nanoparticles  
787 without anti-CEA antibody produced no appreciable fluorescence signal, indicating the  
788 enhancement of tumor targeting achieved through the use of antibodies [179].

789         Although less used than PLGA, PLA-based nanoparticles also showed to be  
790 promising as anti-cancer drug DDS. Additionally, the nanoparticles were subjected to a

791 magnetic resonance imaging (MRI)scanning analysis, and it was shown that the  
792 nanoparticles had higher longitudinal relaxivity in water than the Mn-porphyrin, making  
793 them excellent candidates to be used in T1-weighted MRI [180].Very recently, Yang et  
794 al. developed a DDS based on PLA nanoparticles for the treatment of lung cancer  
795 metastasis. The nanoparticles encapsulating docetaxel (DTX) were prepared by the  
796 single emulsion method and a targeting peptide was conjugated on their surface. The  
797 targeting peptide, screened from lung carcinoma stem cells, showed a high specific-  
798 binding ability to pulmonary adenocarcinoma tissue. The anti-metastatic efficacy of the  
799 nanoparticles was tested in vivo making use of a nude mice model of liver metastasis.  
800 The results revealed that the peptide had a key role in the anti-metastatic efficacy of the  
801 nanoparticles [24;181].

802

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## 5. Conclusões

A micropartícula polimérica com Etoposídeo marcada com  $^{99m}\text{Tc}$  demonstrou ser um micro-radiofármaco com potencial para ser usado no diagnóstico precoce do câncer de pulmão, no entanto, o uso de um polímero no processo de produção das micropartículas se mostrou estável e capaz de atingir o tumor em alta concentração.

Os dados de biodistribuição também demonstraram que a depuração renal é eficaz e mostrou captação insignificante pelo cérebro. Os resultados de imagem SPECT corrobora a biodistribuição e também mostrou a possibilidade de uso deste micro-radiofármaco como agente de imagem.

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